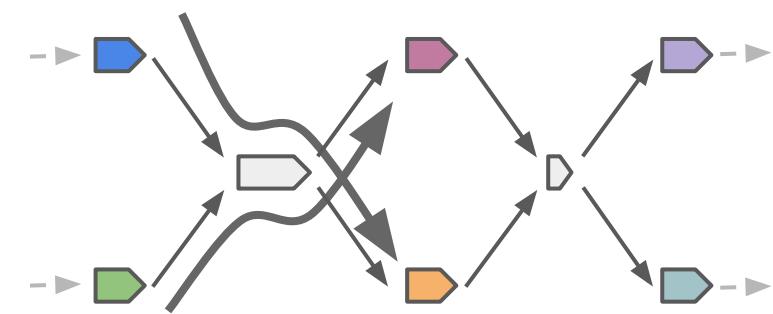


# Unzipping the metagenome: strain-level discovery in the gut microbiome

Byron J. Smith

Bhatt Lab Computational Subgroup  
2024-09-10



$$\begin{array}{c} \text{Legend: } \\ \text{Blue arrow: } \begin{matrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{matrix} \\ \times \\ \begin{matrix} p_{1,1} & p_{1,2} & p_{1,3} \\ p_{2,1} & p_{2,2} & p_{2,3} \\ p_{3,1} & p_{3,2} & p_{3,3} \\ p_{4,1} & p_{4,2} & p_{4,3} \end{matrix} \\ \approx \\ \begin{matrix} e_{1,1} & e_{1,2} & e_{1,3} \\ e_{2,1} & e_{2,2} & e_{2,3} \\ e_{3,1} & e_{3,2} & e_{3,3} \\ e_{4,1} & e_{4,2} & e_{4,3} \end{matrix} \end{array}$$

# First Thing: Thank You!

## Pollard Lab

Katie Pollard  
Veronika Dubinkina  
and everyone

## Collaborators

Archit Verma  
Dylan Cable

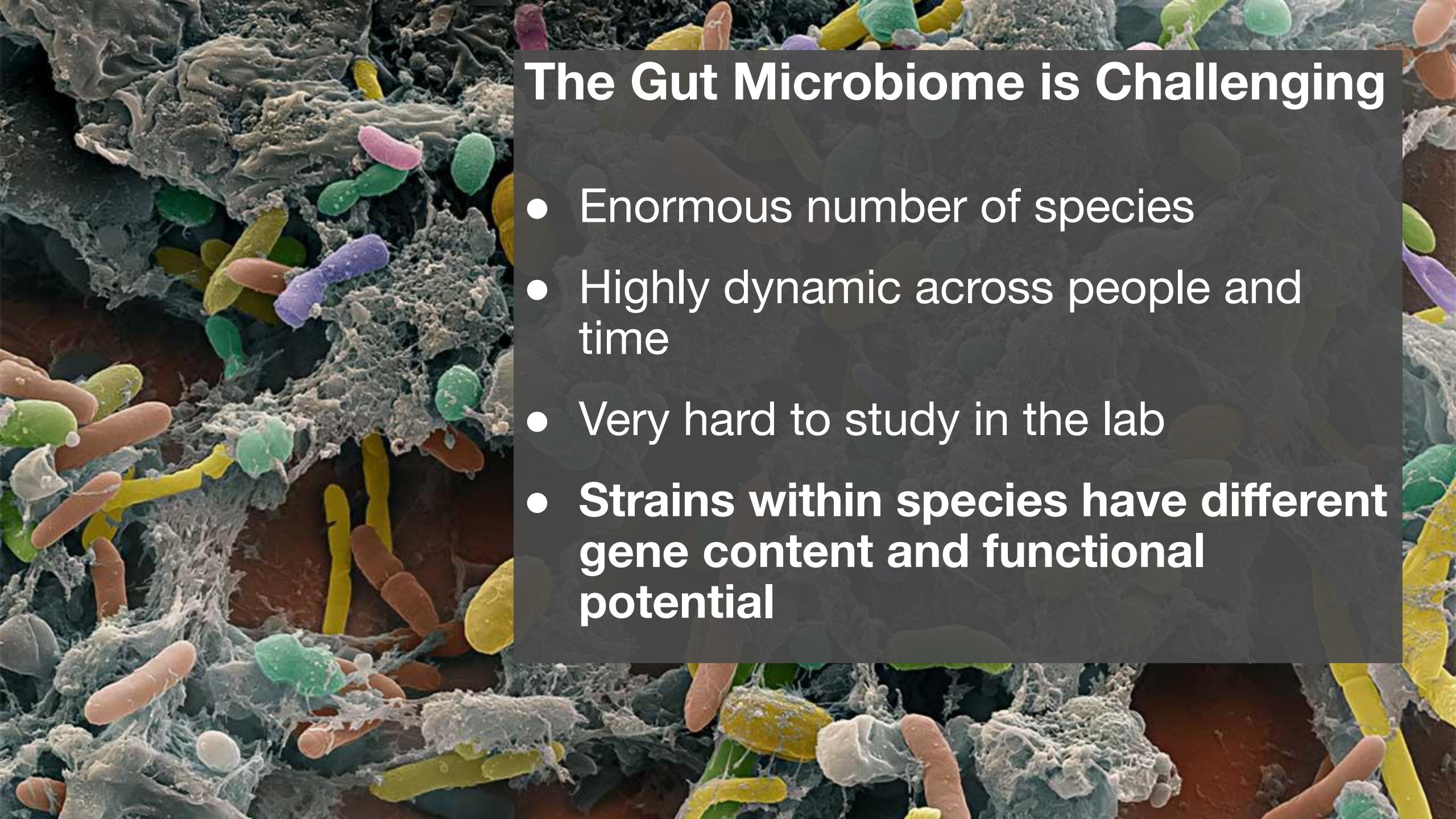
## Funders

Gladstone Institutes  
NIH  
CZ Biohub  
UC Noyce Initiative  
Helmsley Charitable Trust



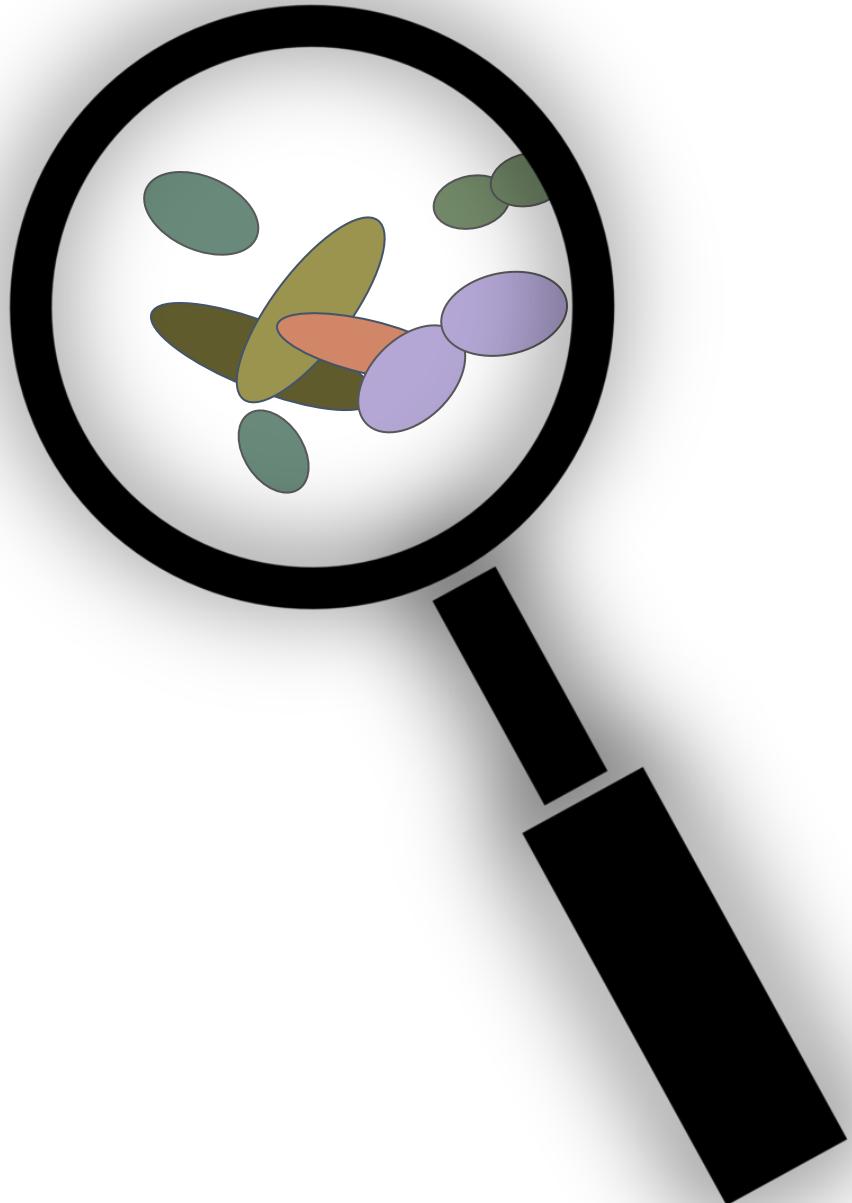
# **Introduction:**

The gut microbiome and  
shotgun metagenomics

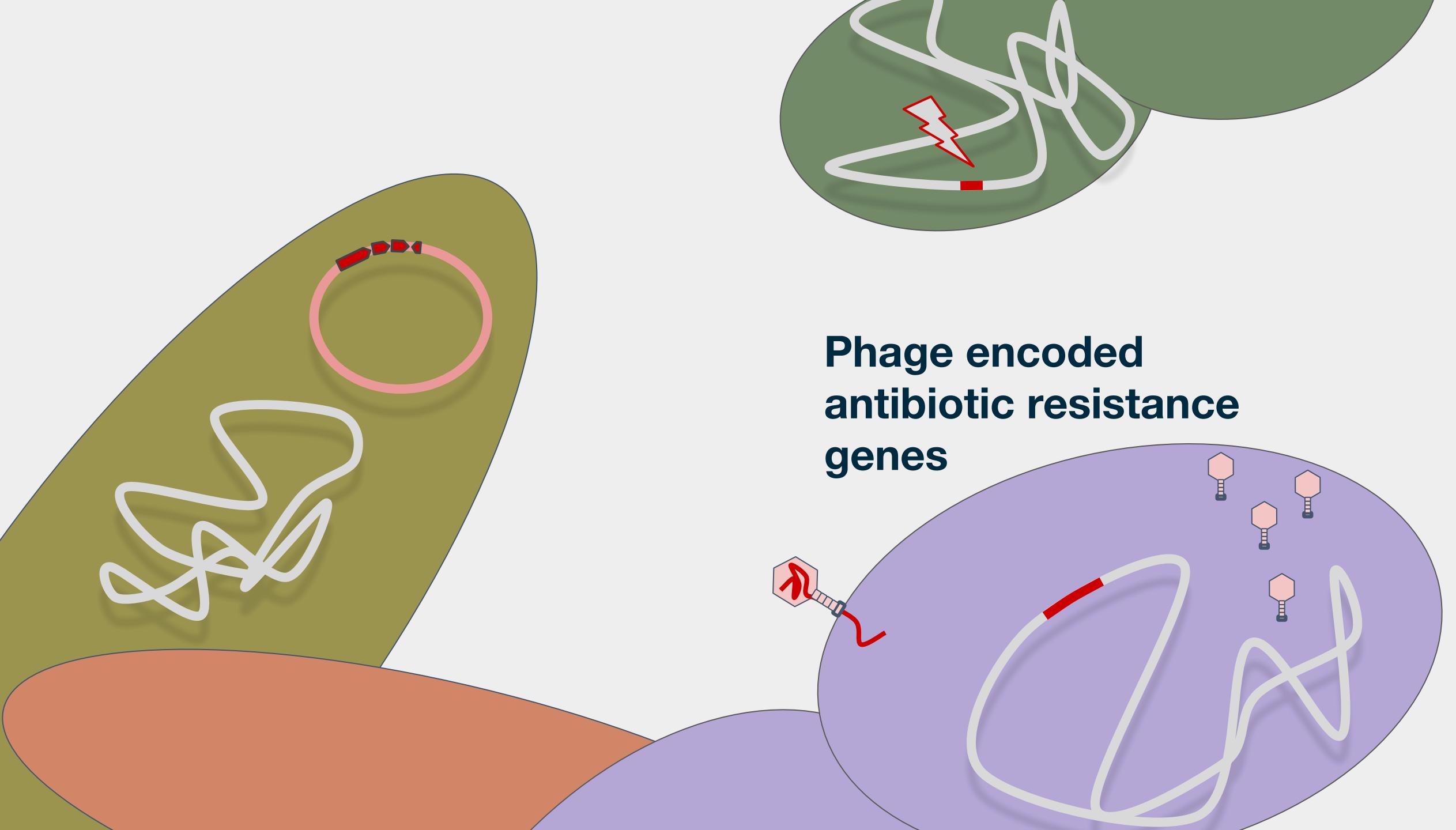


# The Gut Microbiome is Challenging

- Enormous number of species
- Highly dynamic across people and time
- Very hard to study in the lab
- **Strains within species have different gene content and functional potential**

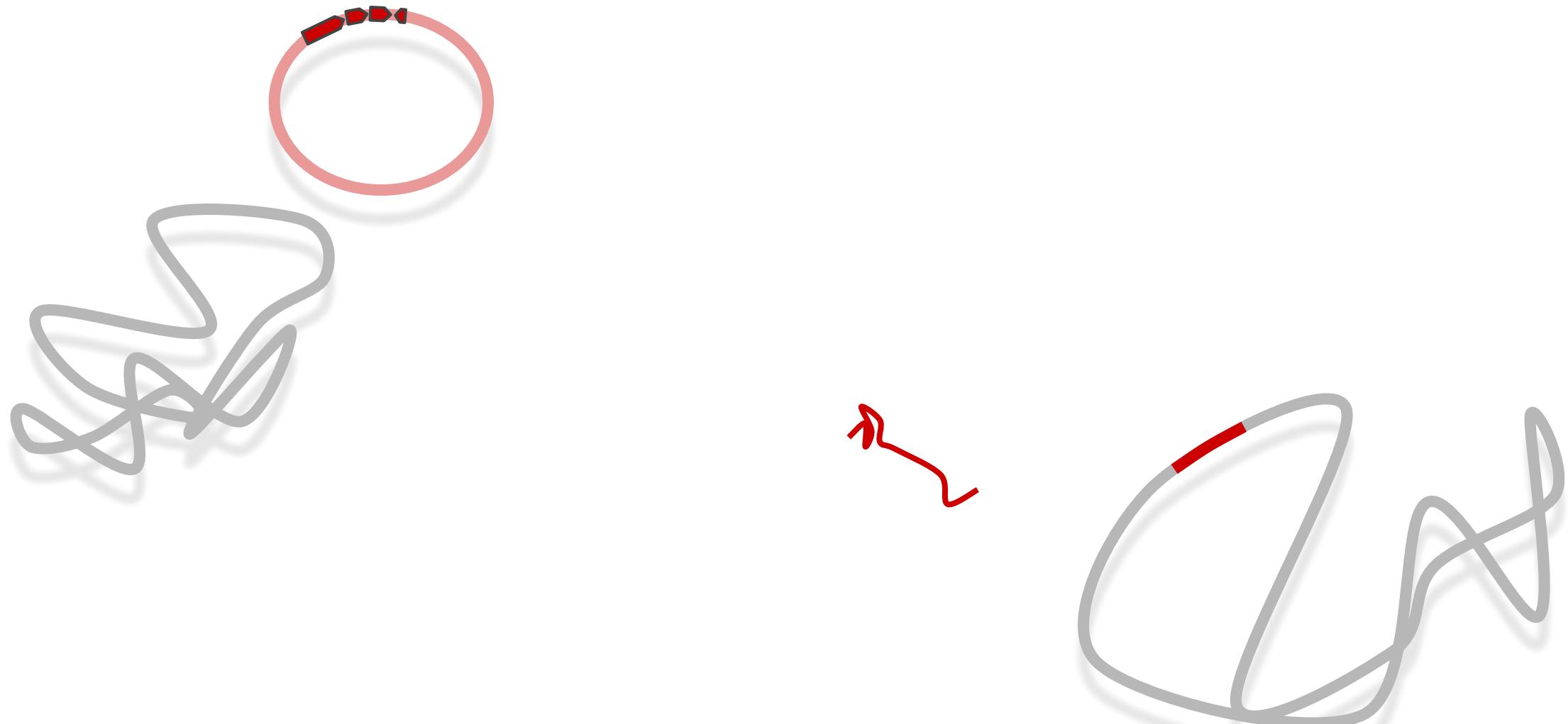


Bacterial genomes are  
key to understanding  
strain diversity



**Phage encoded  
antibiotic resistance  
genes**

# Metagenomic sequencing surveys all genomes



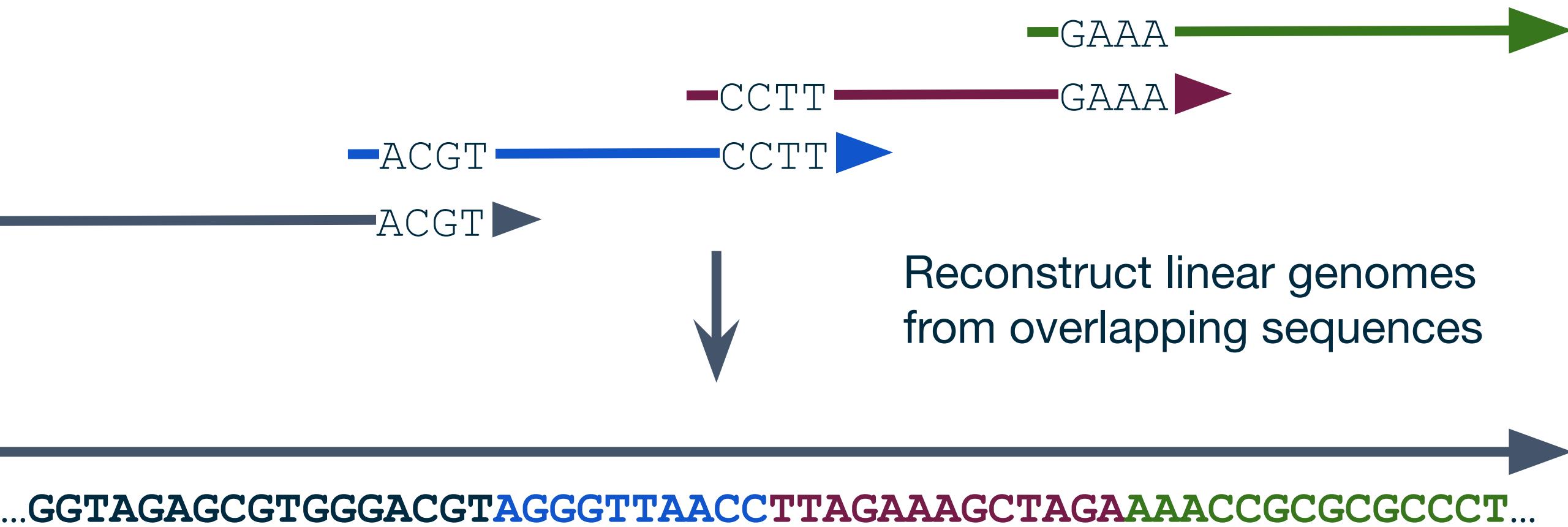
# Short-read, shotgun metagenomes enable modern microbiome science

## Requirements:

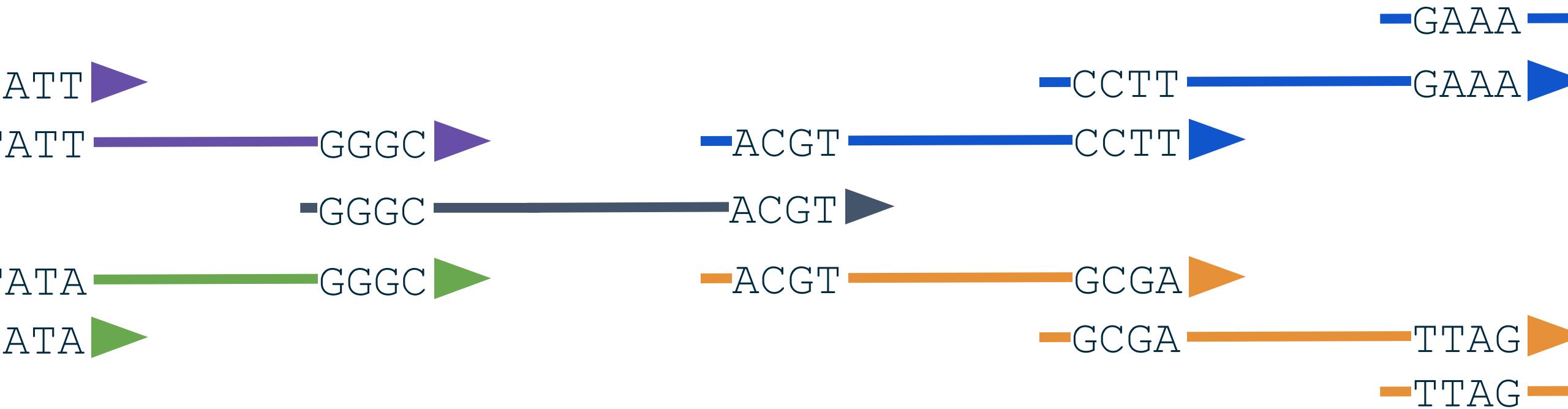
- strain-resolved genome sequences ➤ high accuracy
- capture low-abundance organisms ➤ very deep sequencing
- longitudinal designs and lots of samples ➤ cheap
- long sequences ➤ ...



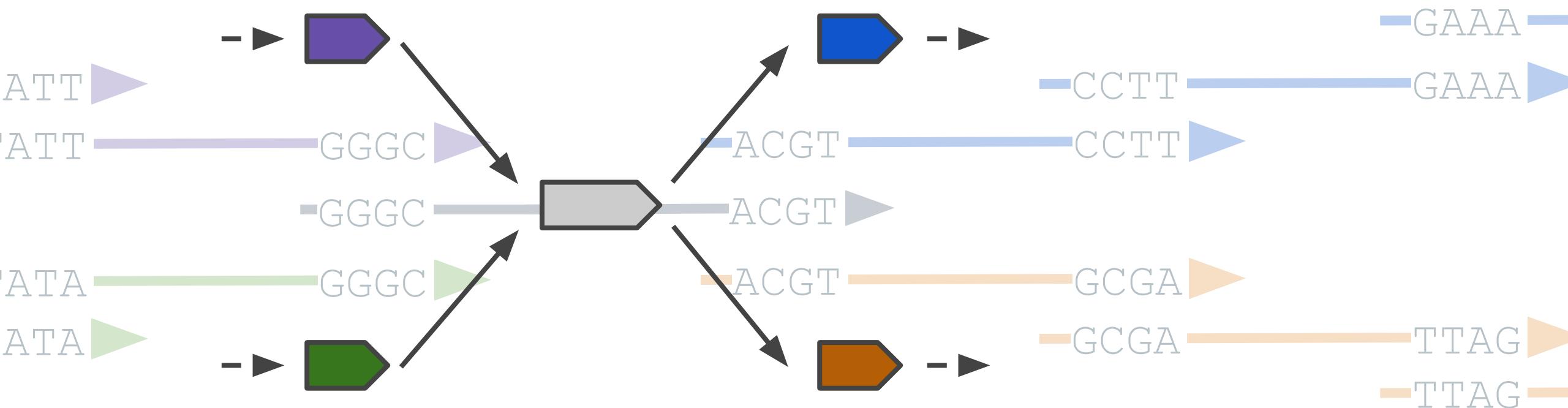
# Turning short reads into long sequences



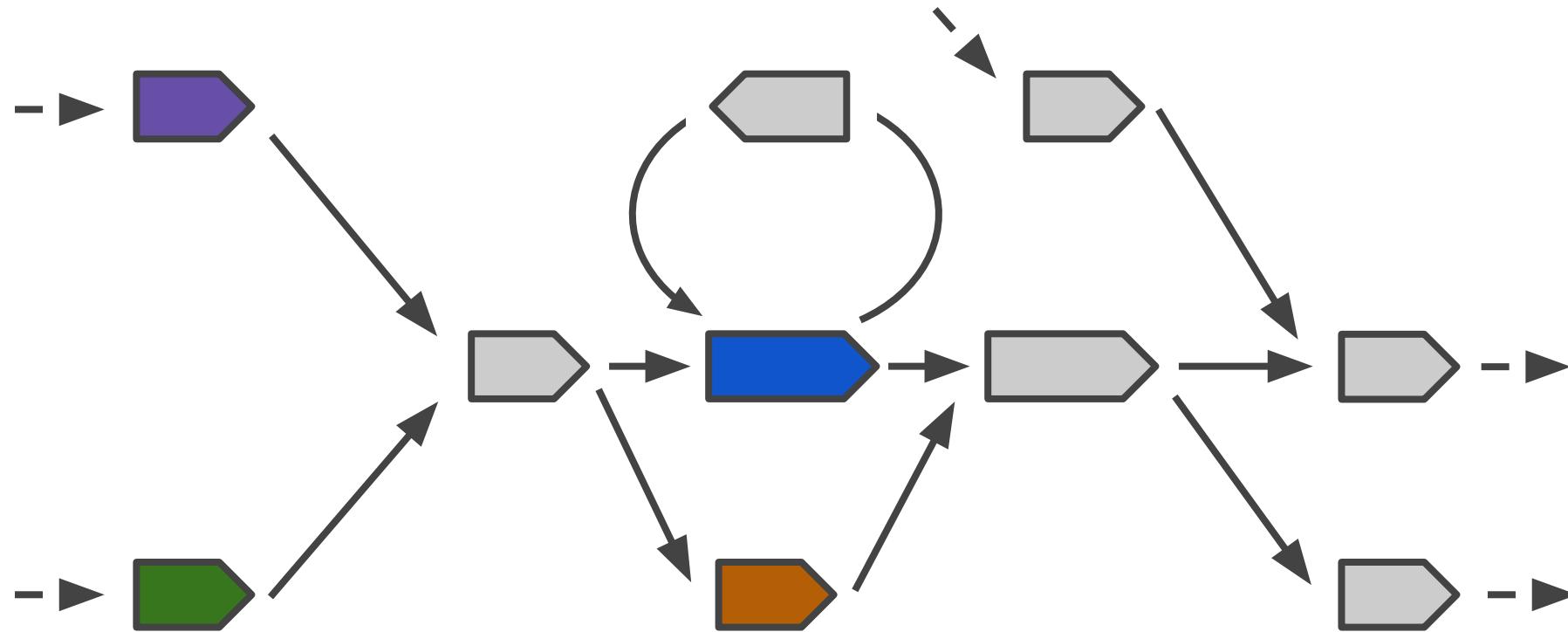
# Problem: Closely related strains make read-chaining ambiguous



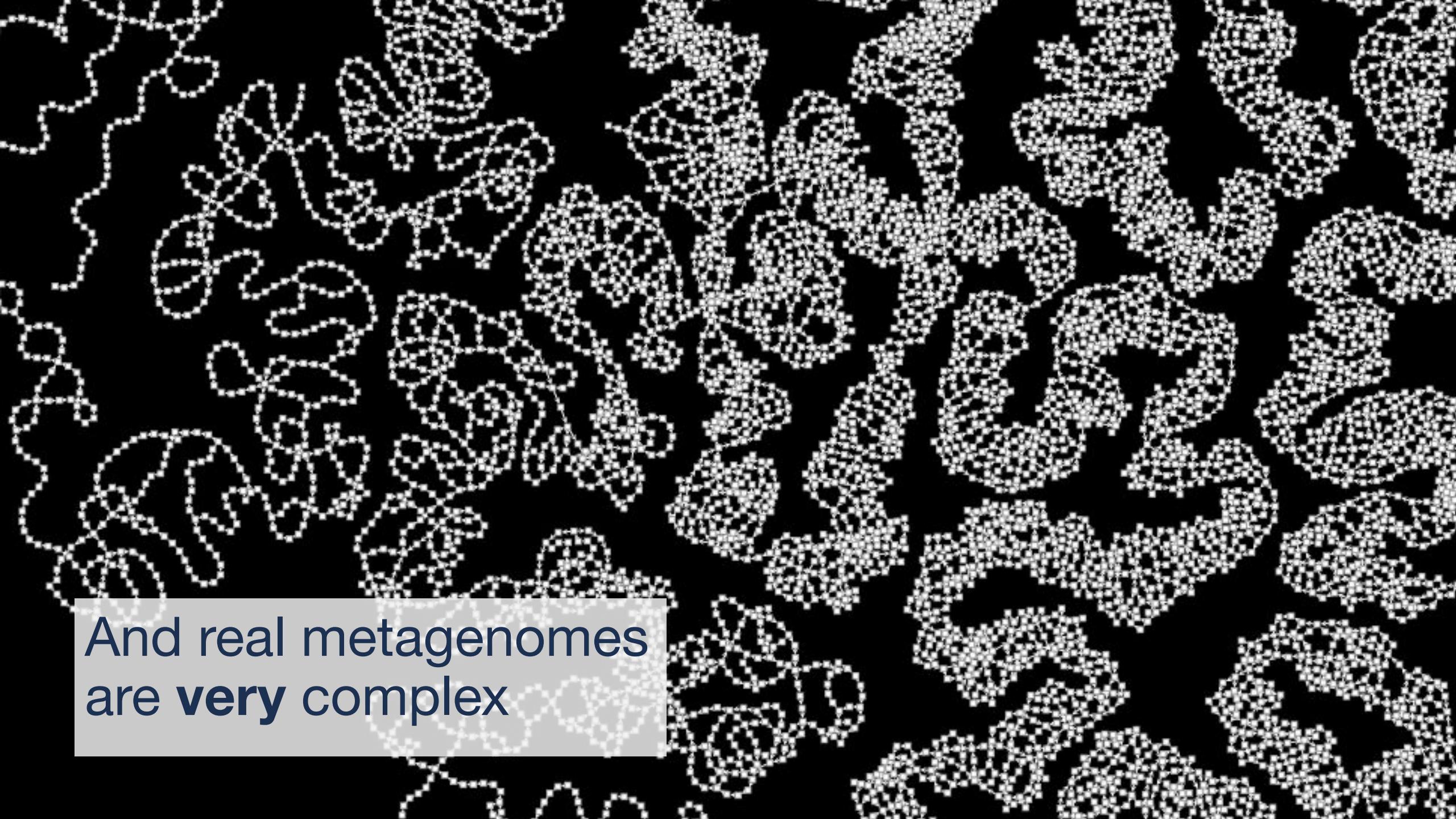
Can be represented as  
a graph of sequences  
linked by their overlaps



Can be represented as  
a graph of sequences  
linked by their overlaps

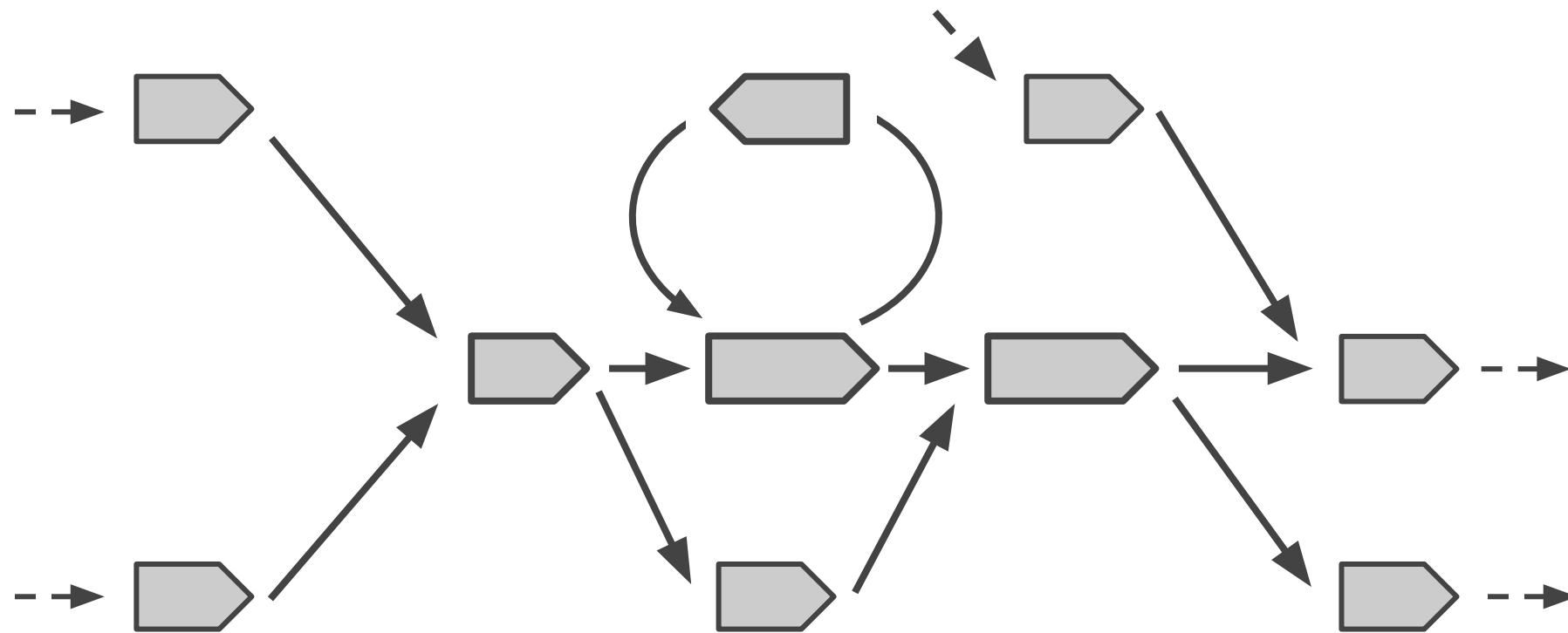


(This problem also comes up for mRNA alternative splicing)

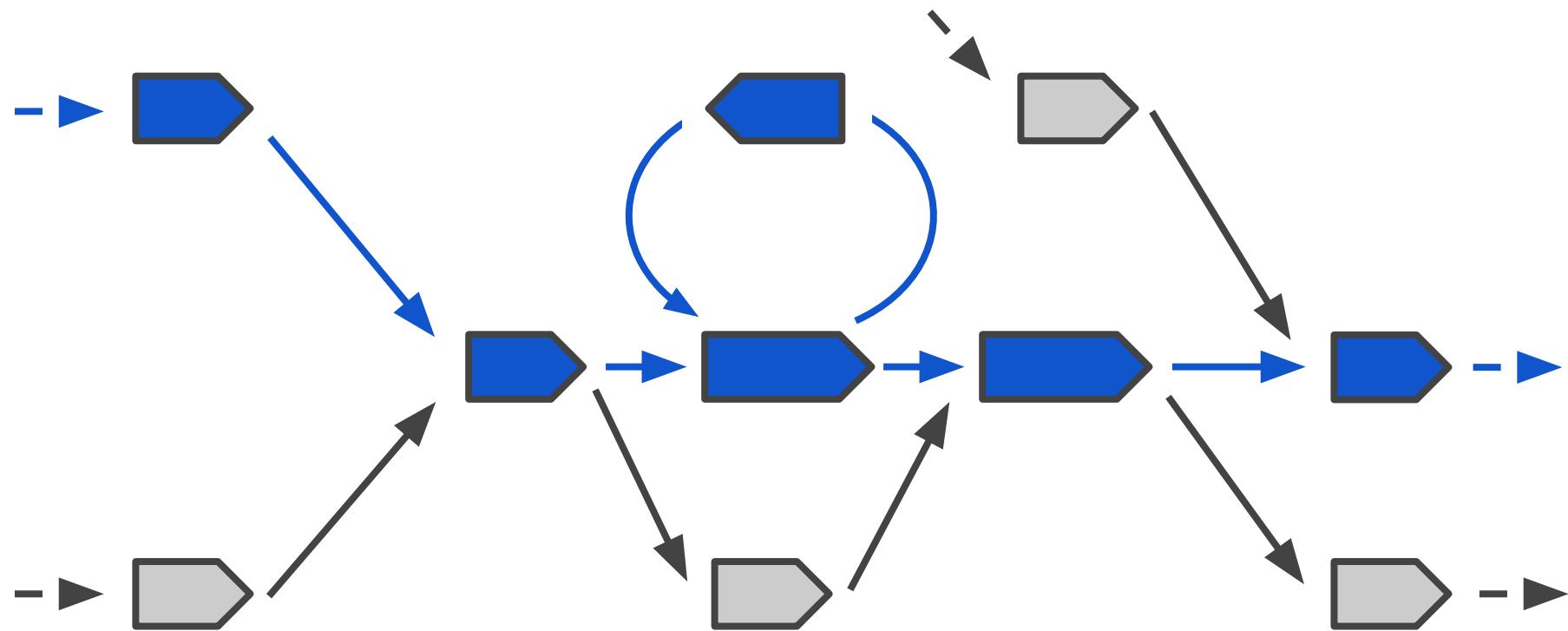


And real metagenomes  
are **very** complex

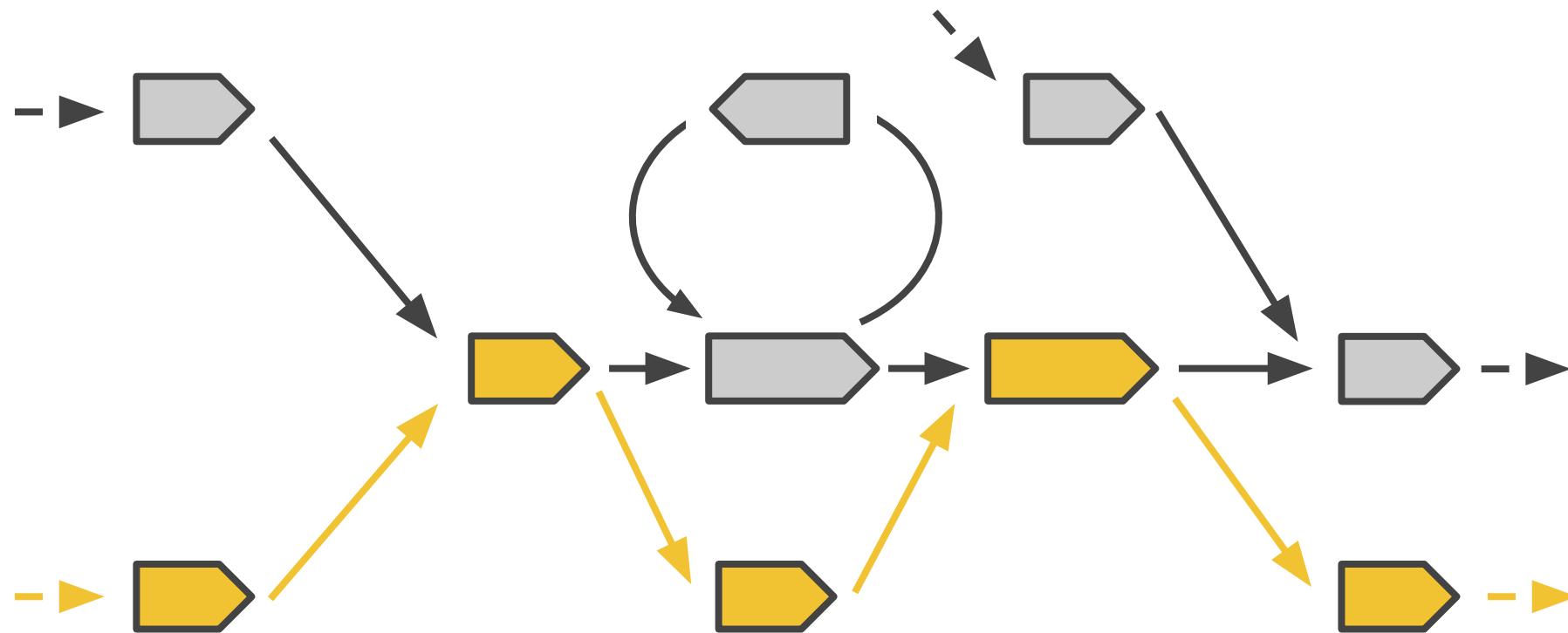
Real genomic  
sequences are  
paths on the graph



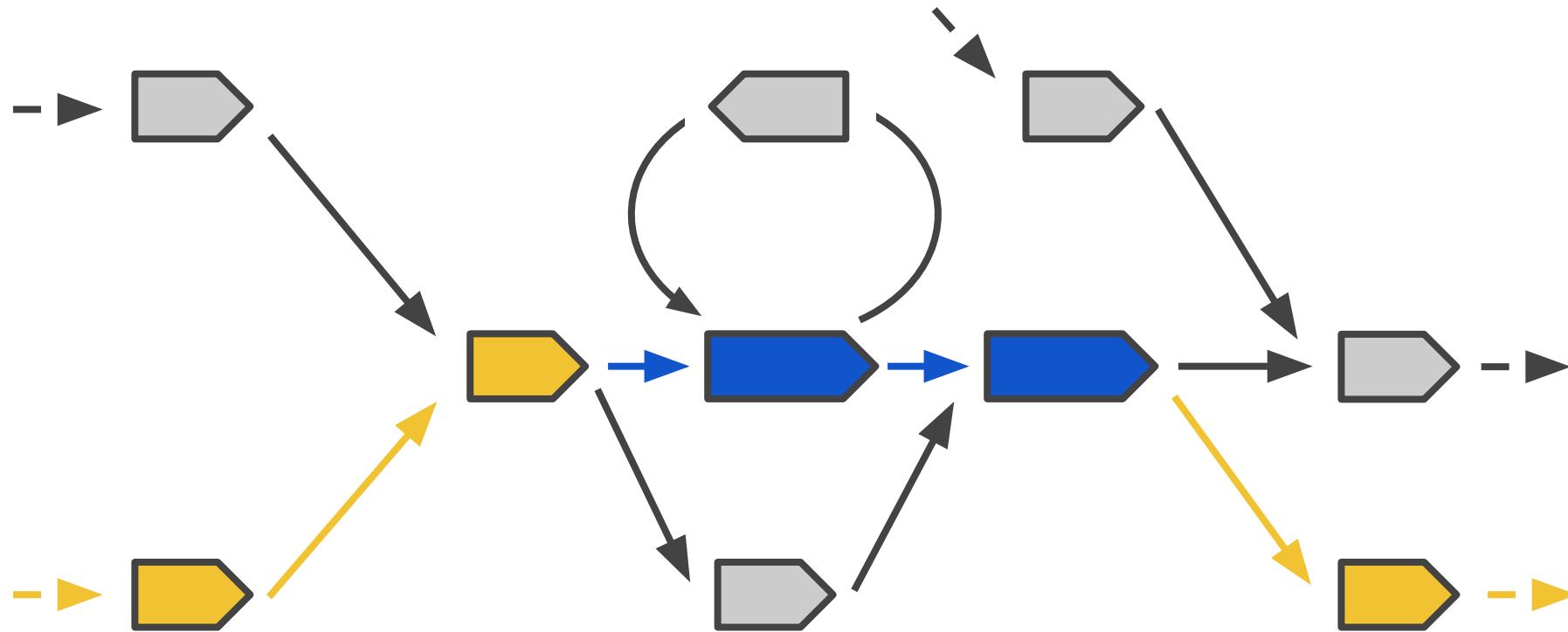
Real genomic  
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Real genomic  
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paths on the graph

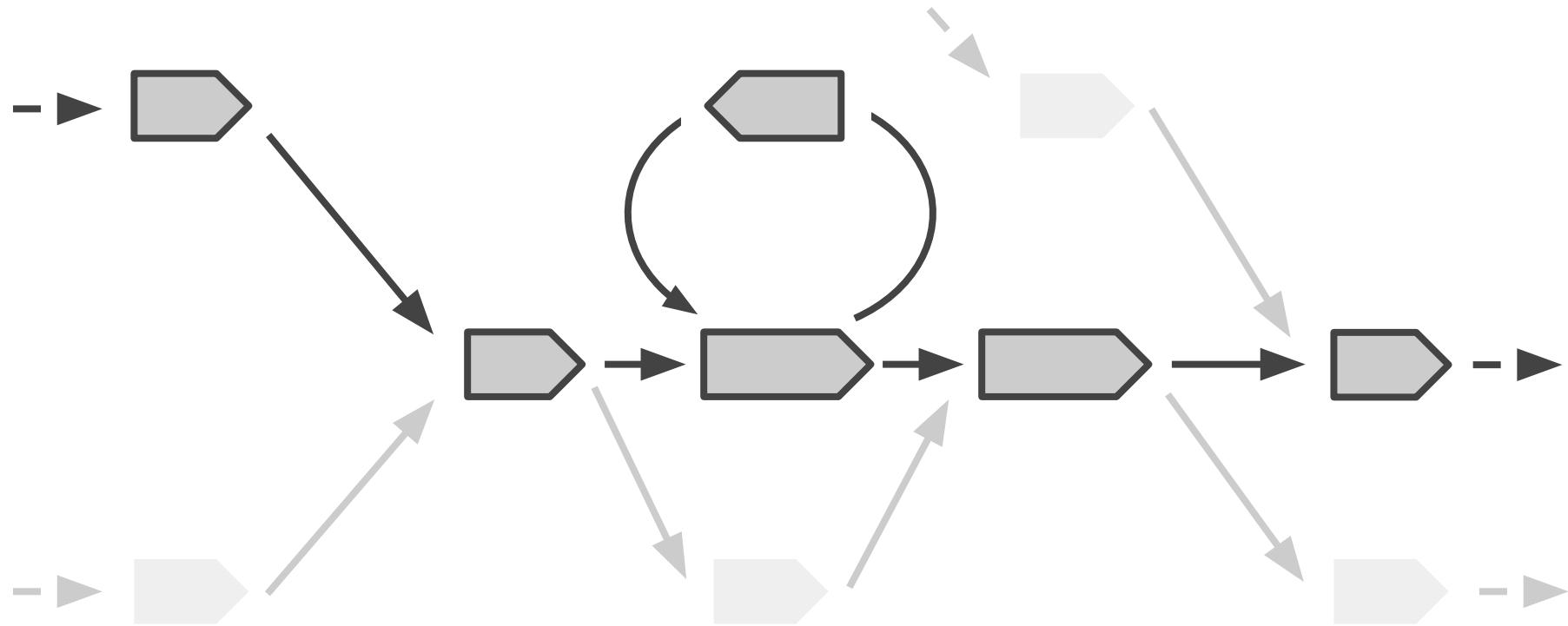


Lots of incorrect paths also exist...  
*How do we avoid these?*

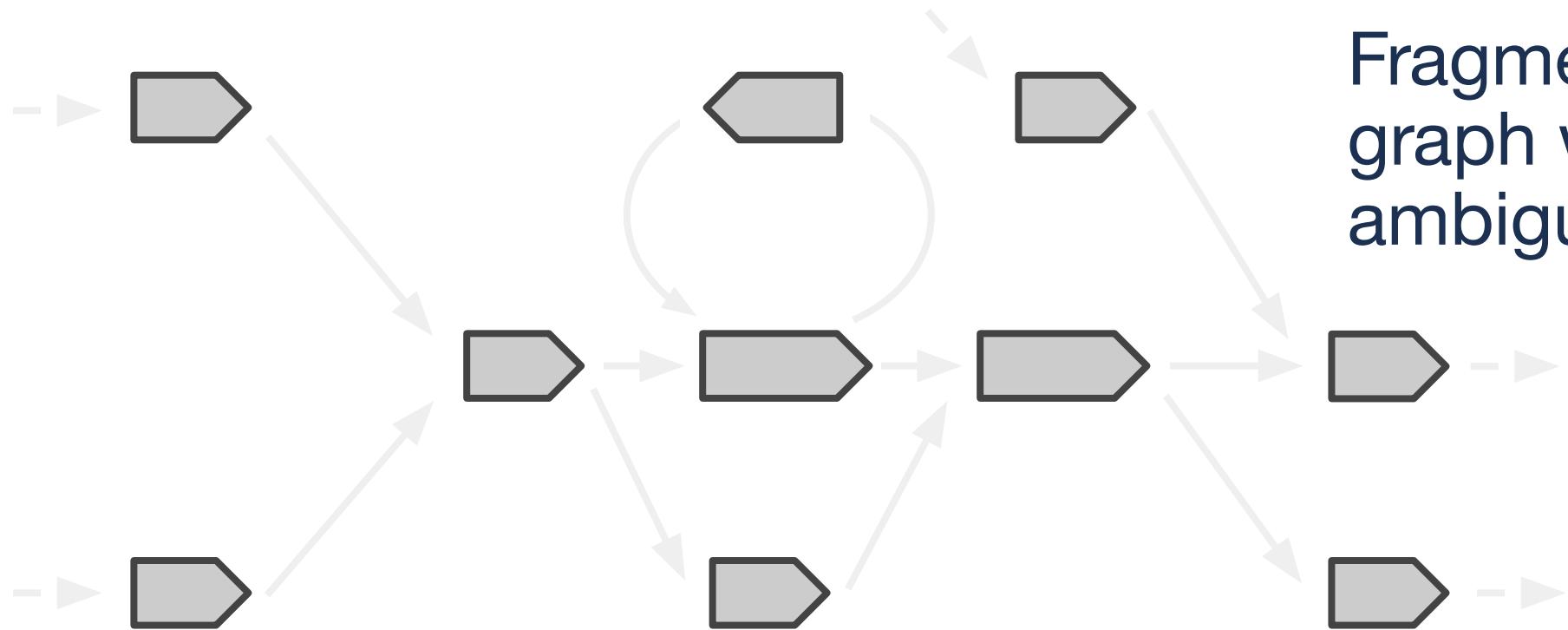


Lots of incorrect paths also exist...  
*How do we avoid these?*

**Standard Tools:**  
Filter out  
low-abundance  
sequences

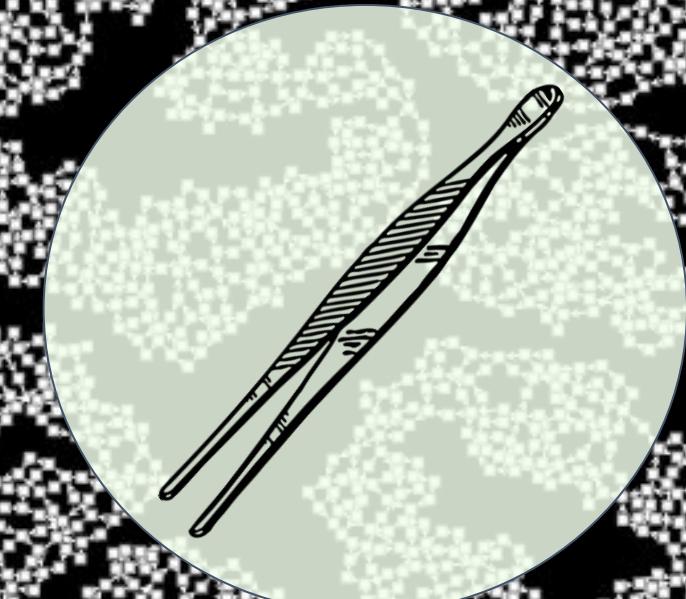


Lots of incorrect paths also exist...  
*How do we avoid these?*



- Standard Tools:
  - Filter out low-abundance sequences
  - Fragment the graph when it's ambiguous

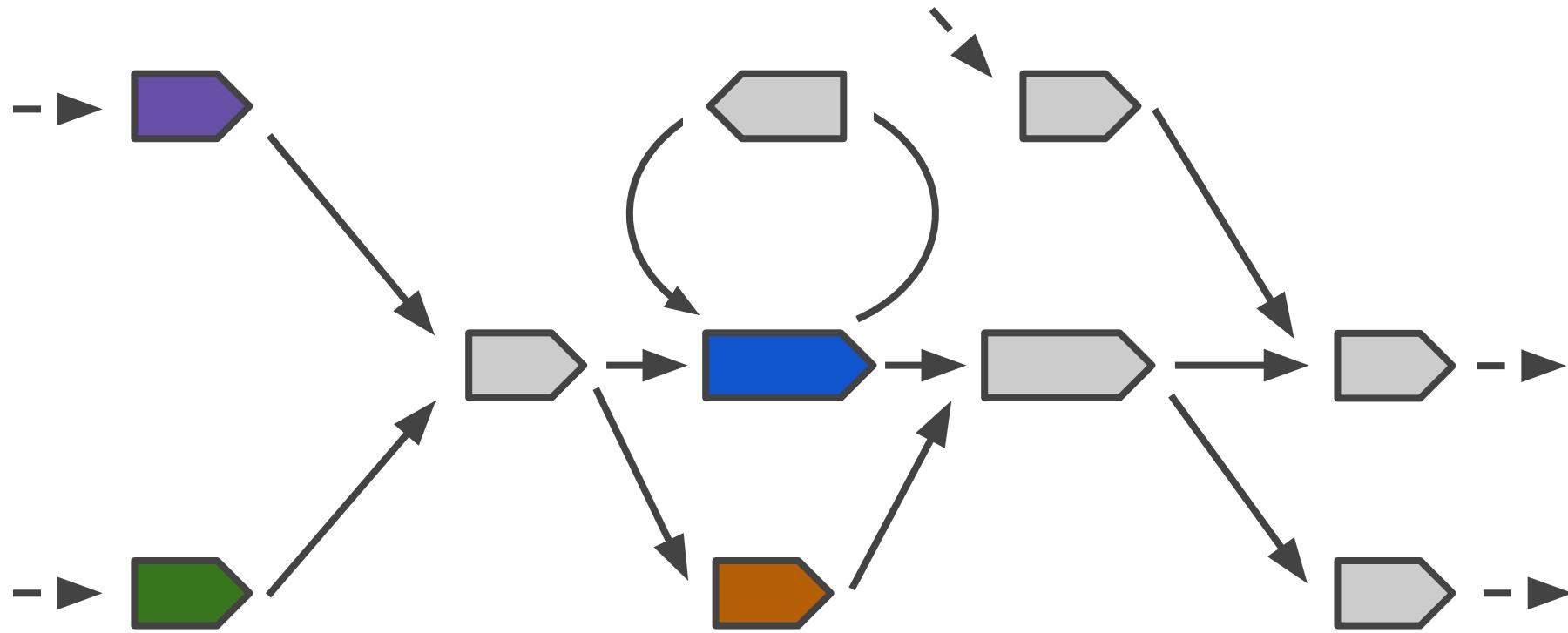
# Untangling the hairball



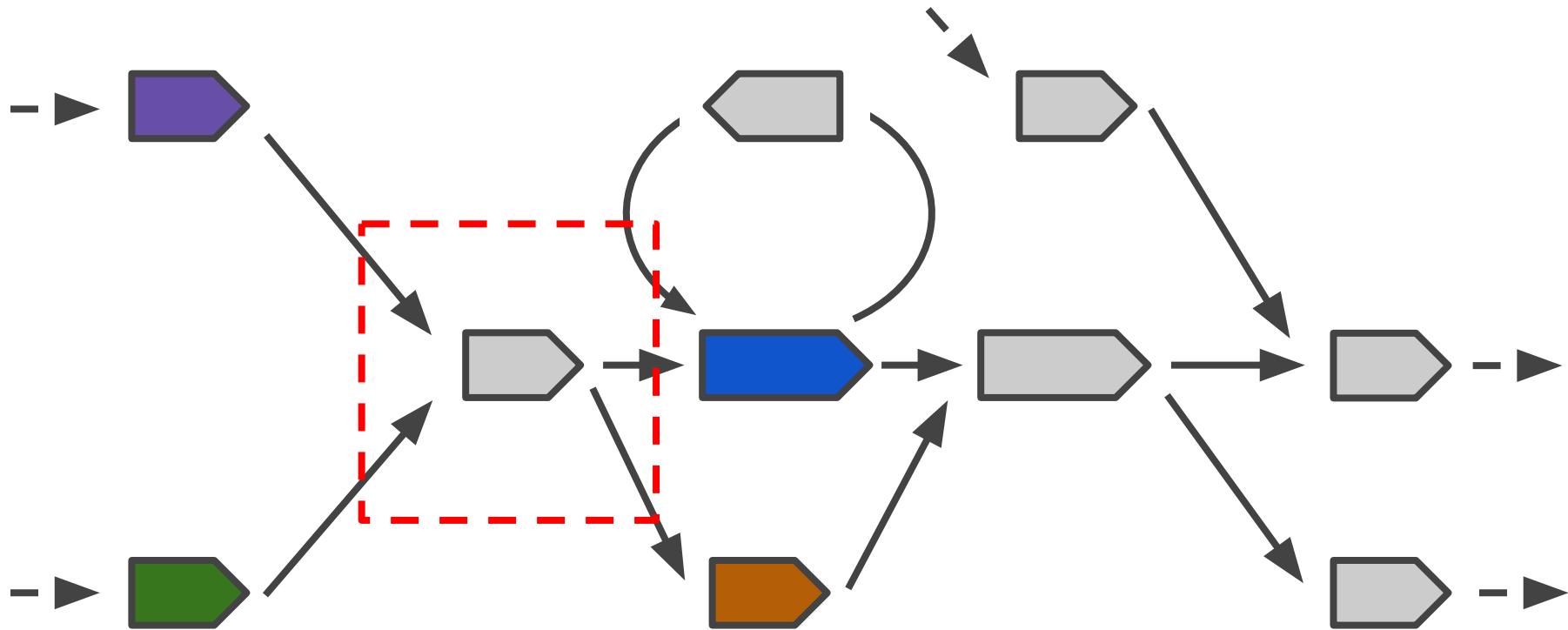
**StrainZip:**

Untangling the metagenome graph

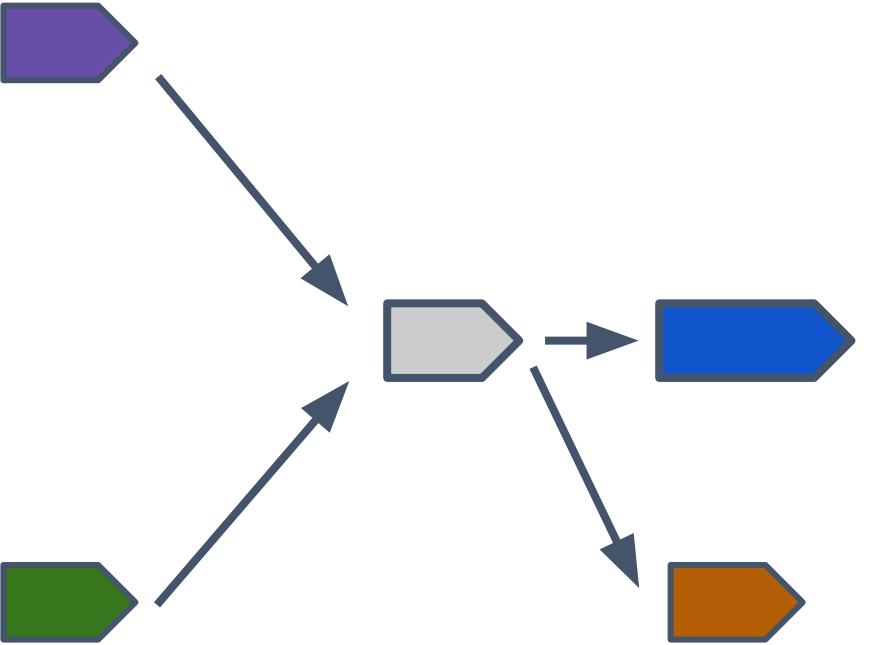
# How can we recover long, accurate genome sequences from short reads?



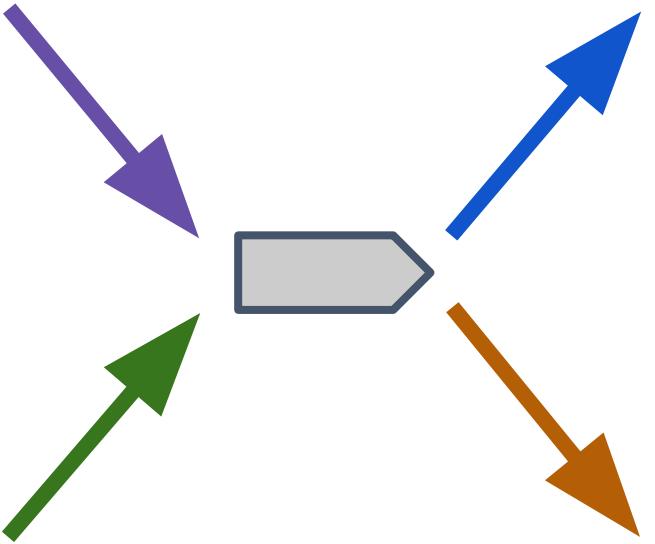
# How can we recover long, accurate genome sequences from short reads?



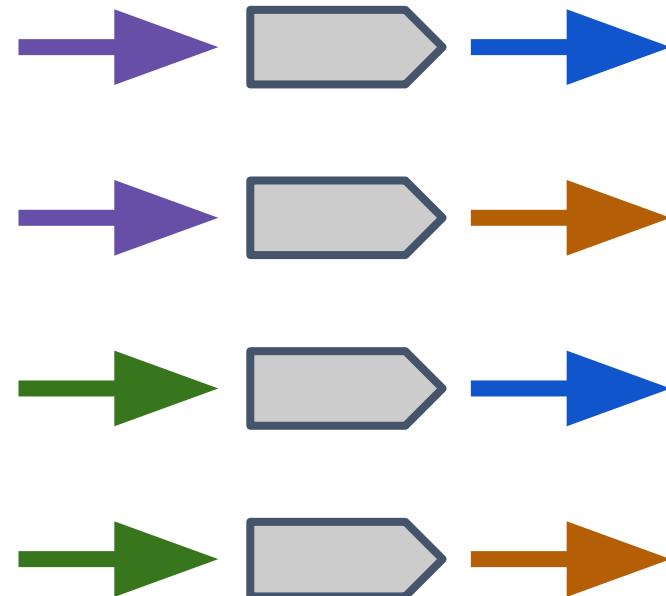
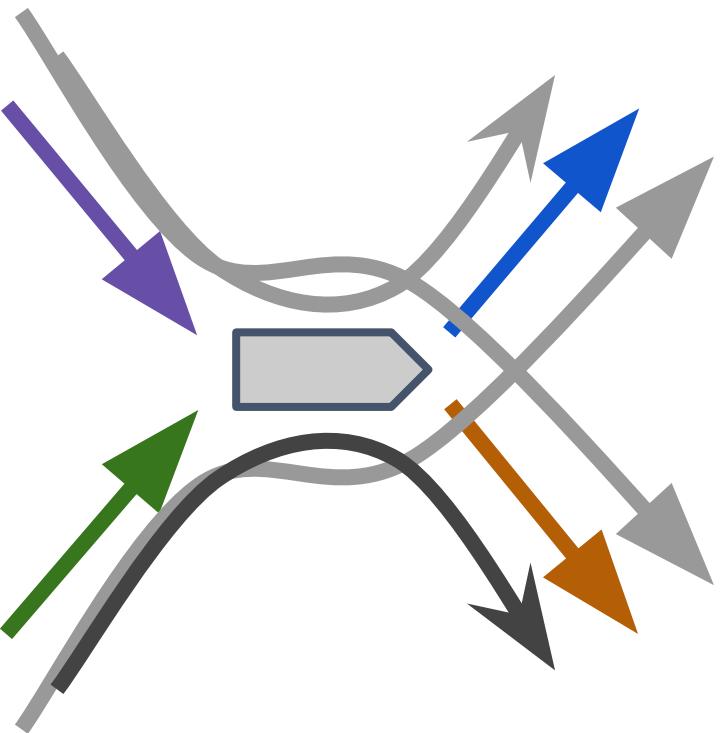
# Focus on just one junction at a time



# Focus on just one junction at a time

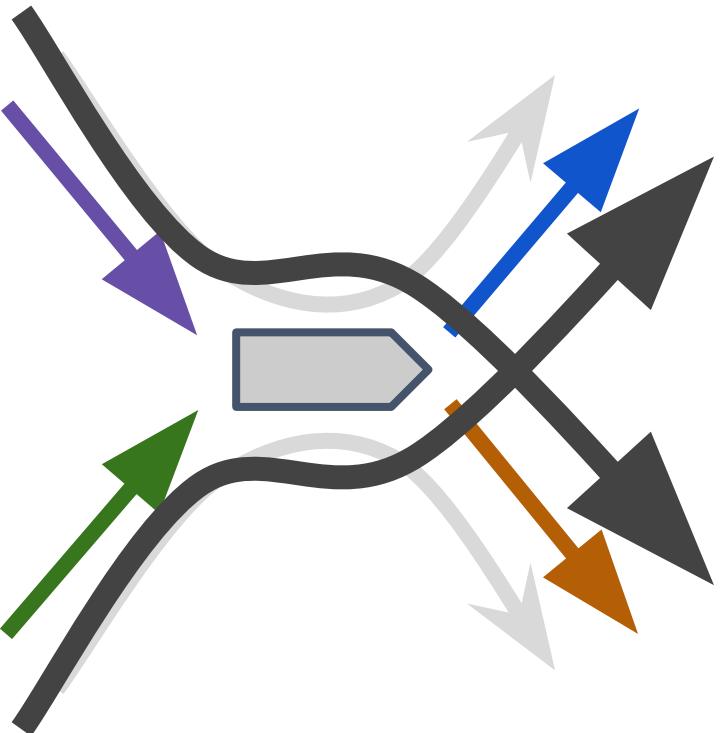


# Focus on just one junction at a time



# Focus on just one junction at a time

## Select local paths



$$\begin{matrix} & \downarrow & \downarrow & \downarrow & \downarrow \\ \rightarrow & 1 & 1 & 0 & 0 \\ \textcolor{green}{\downarrow} & 0 & 0 & 1 & 1 \\ \textcolor{purple}{\downarrow} & 1 & 0 & 1 & 0 \\ \textcolor{orange}{\downarrow} & 0 & 1 & 0 & 1 \end{matrix} \times \begin{matrix} p_{1,1} & p_{1,2} & p_{1,3} \\ p_{2,1} & p_{2,2} & p_{2,3} \\ p_{3,1} & p_{3,2} & p_{3,3} \\ p_{4,1} & p_{4,2} & p_{4,3} \end{matrix} \approx \begin{matrix} e_{1,1} & e_{1,2} & e_{1,3} \\ e_{2,1} & e_{2,2} & e_{2,3} \\ e_{3,1} & e_{3,2} & e_{3,3} \\ e_{4,1} & e_{4,2} & e_{4,3} \end{matrix}$$

$X \quad \beta \quad Y$

Sparse linear  
regression across  
multiple samples

Focus on just one junction at a time

Select local paths

Unzip

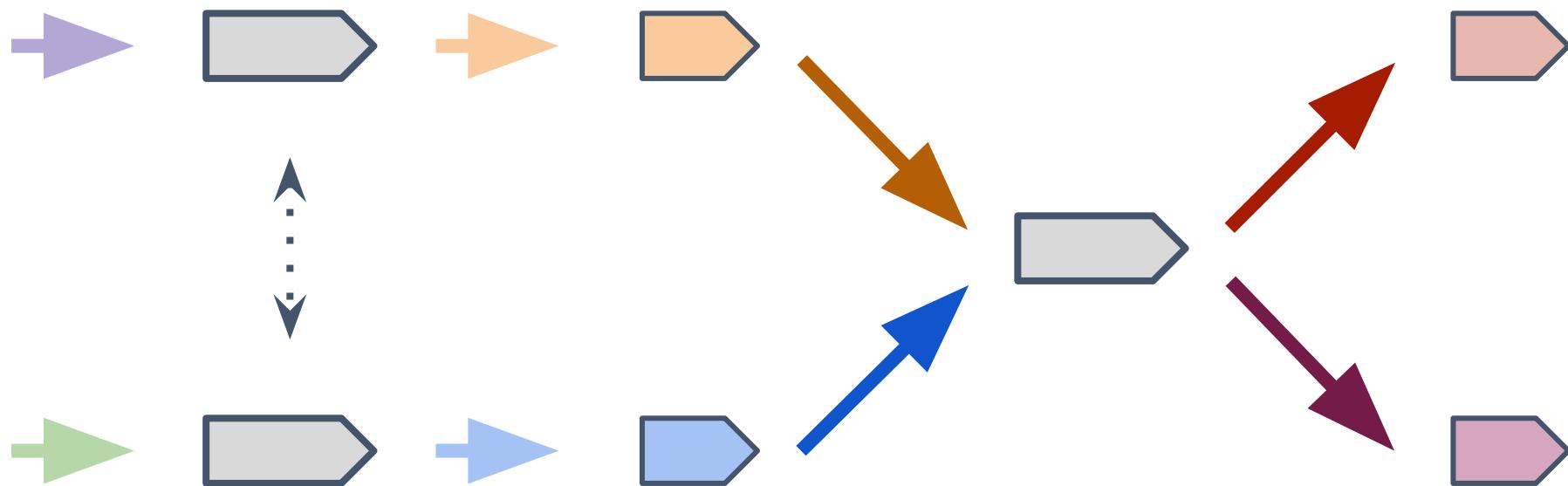


Focus on just one junction at a time

Select local paths

Unzip

Repeat



Focus on just one junction at a time

Select local paths

Unzip

Repeat

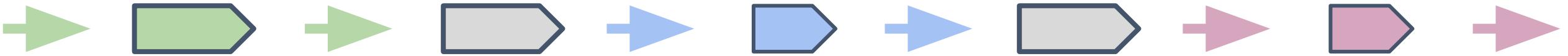


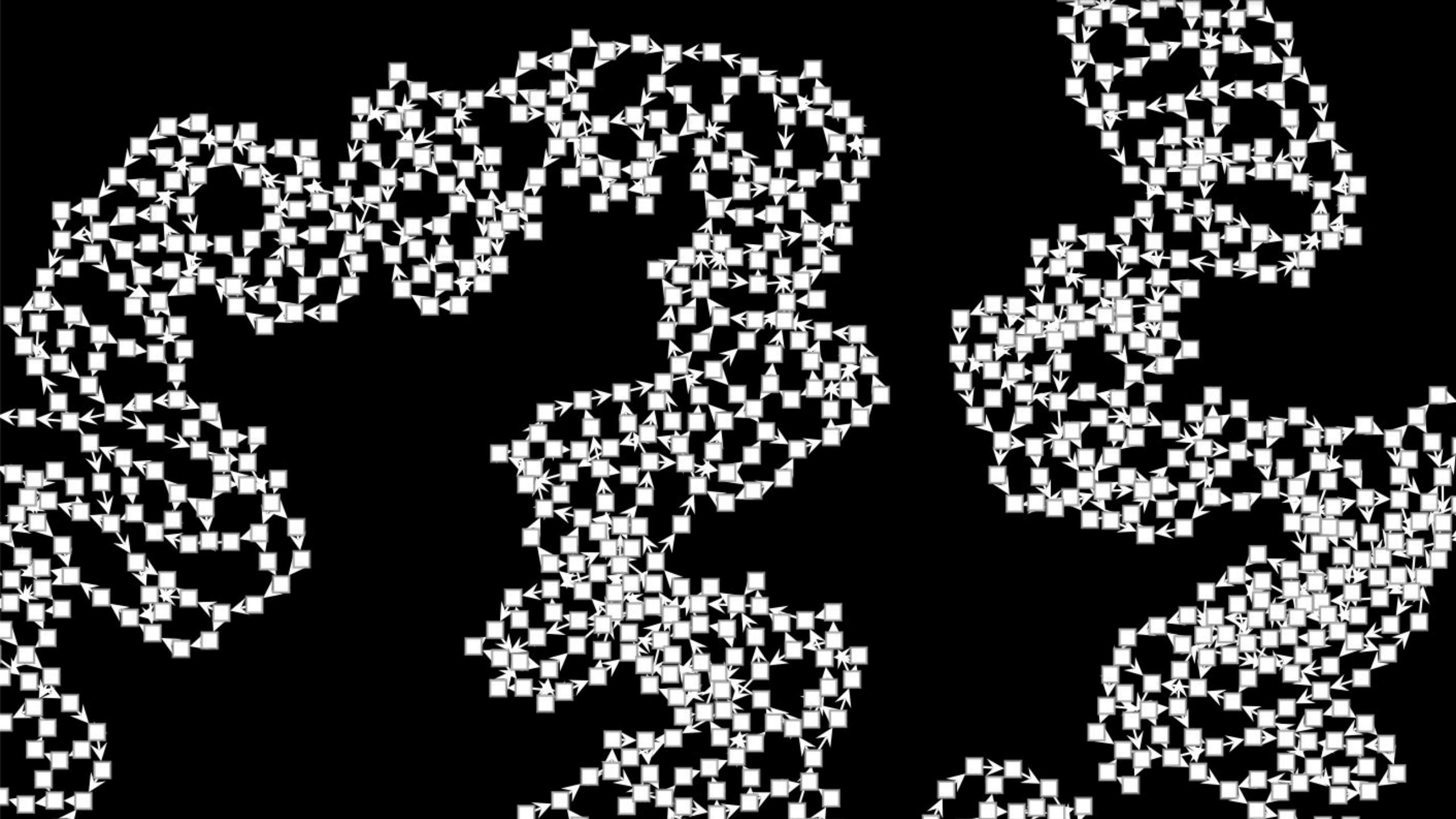
Focus on just one junction at a time

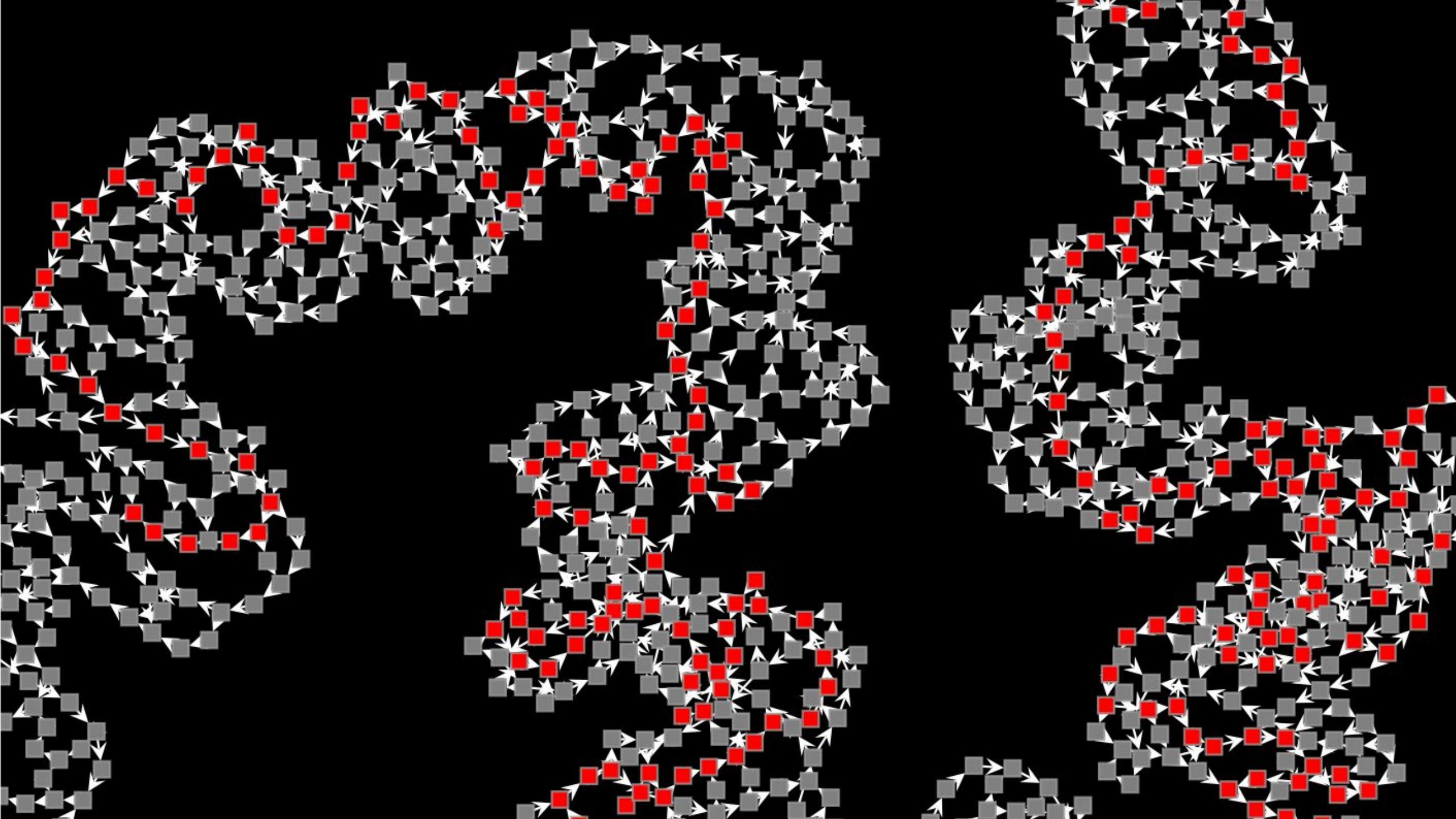
Select local paths

Unzip

Repeat

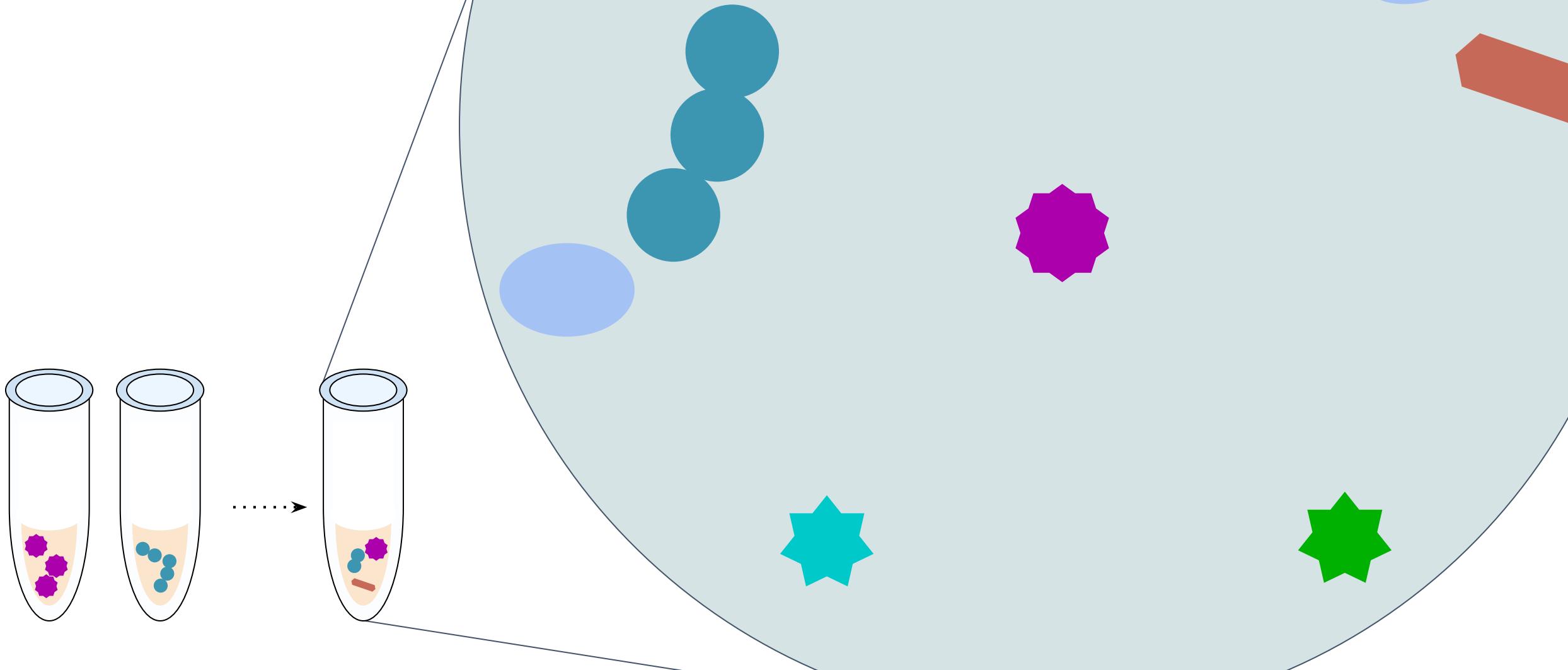






# **Strain-resolved discovery**

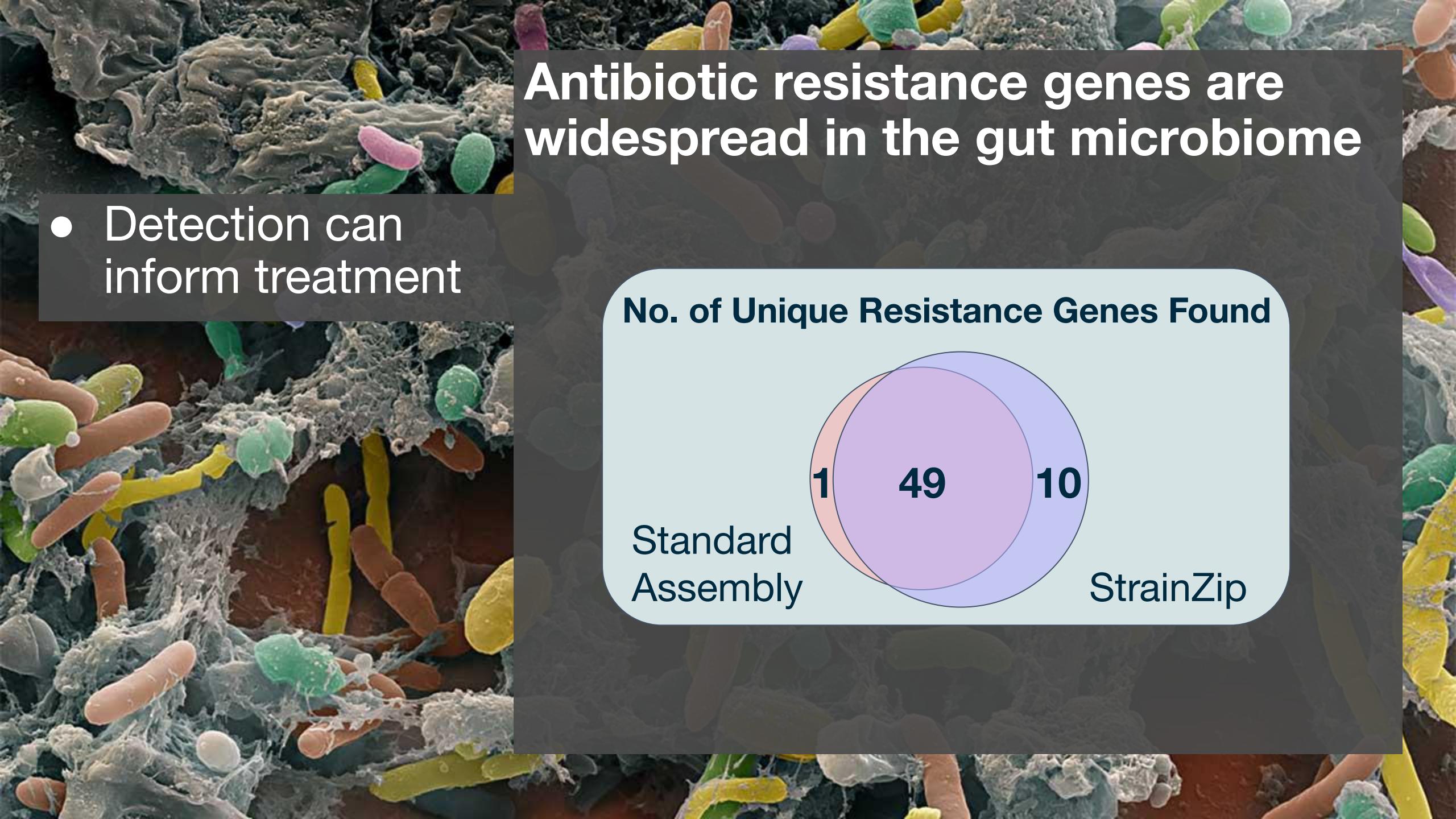
# Performance benchmarked on a complex, synthetic community





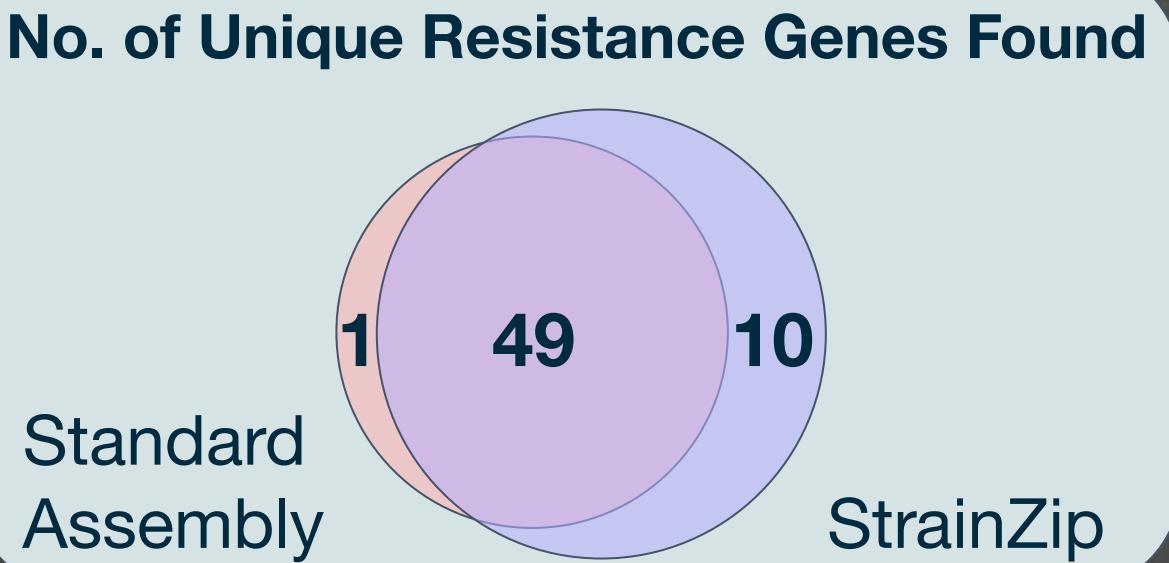
# Antibiotic resistance genes are widespread in the gut microbiome

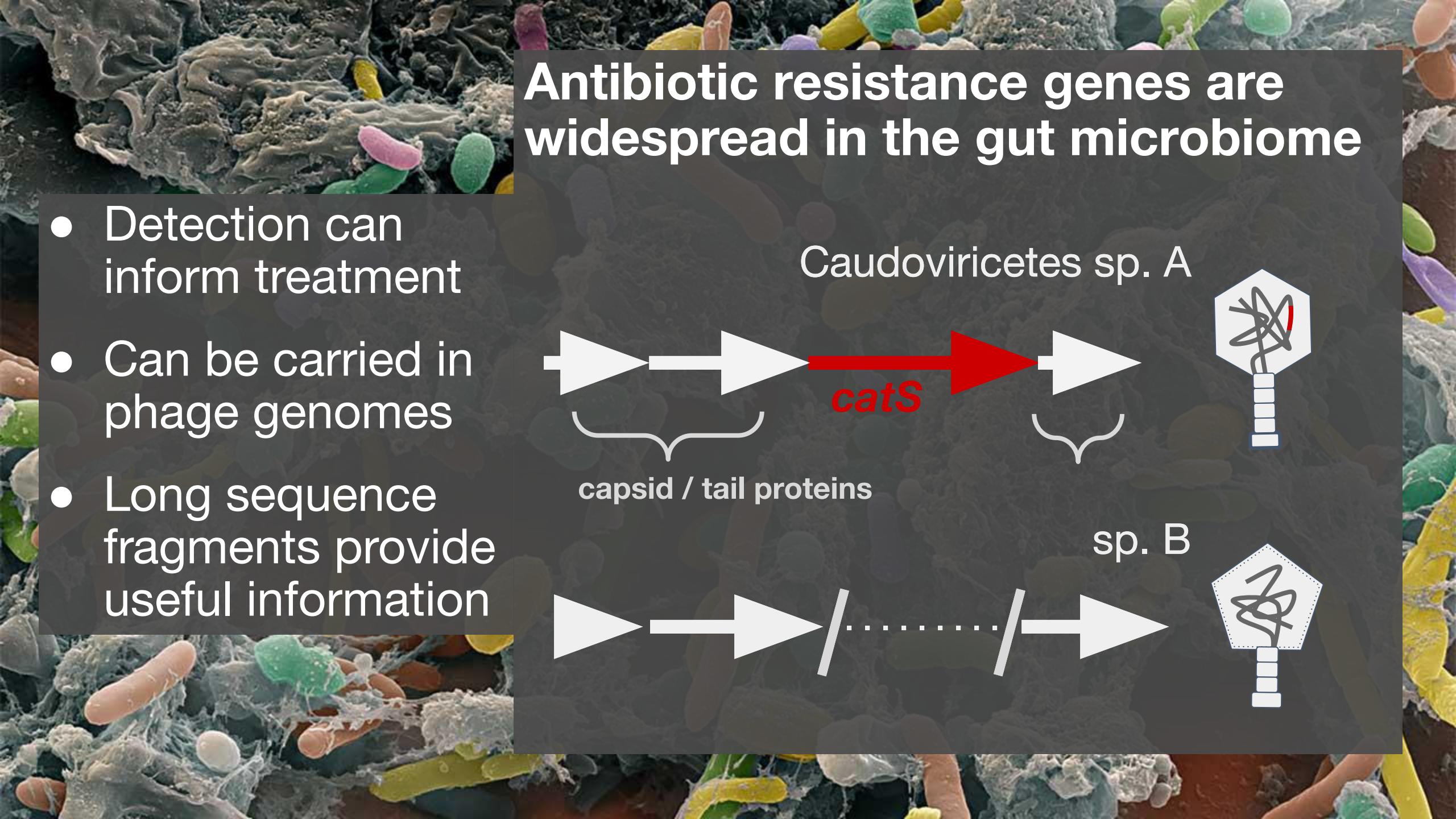
- Detection can inform treatment

A scanning electron micrograph (SEM) showing a diverse community of gut microbiota. Various colored bacteria, including rod-shaped and spherical ones, are visible against a dark, textured background.

# Antibiotic resistance genes are widespread in the gut microbiome

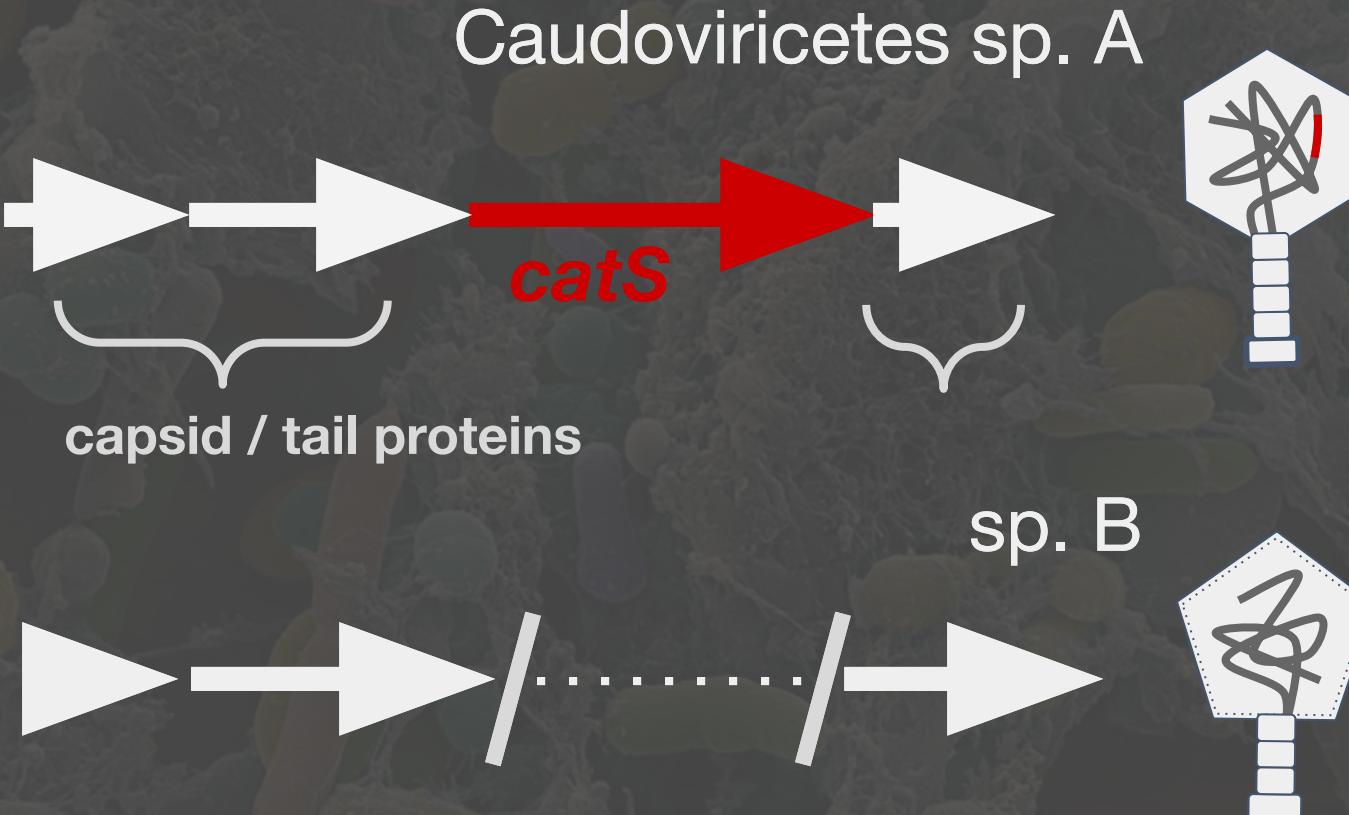
- Detection can inform treatment





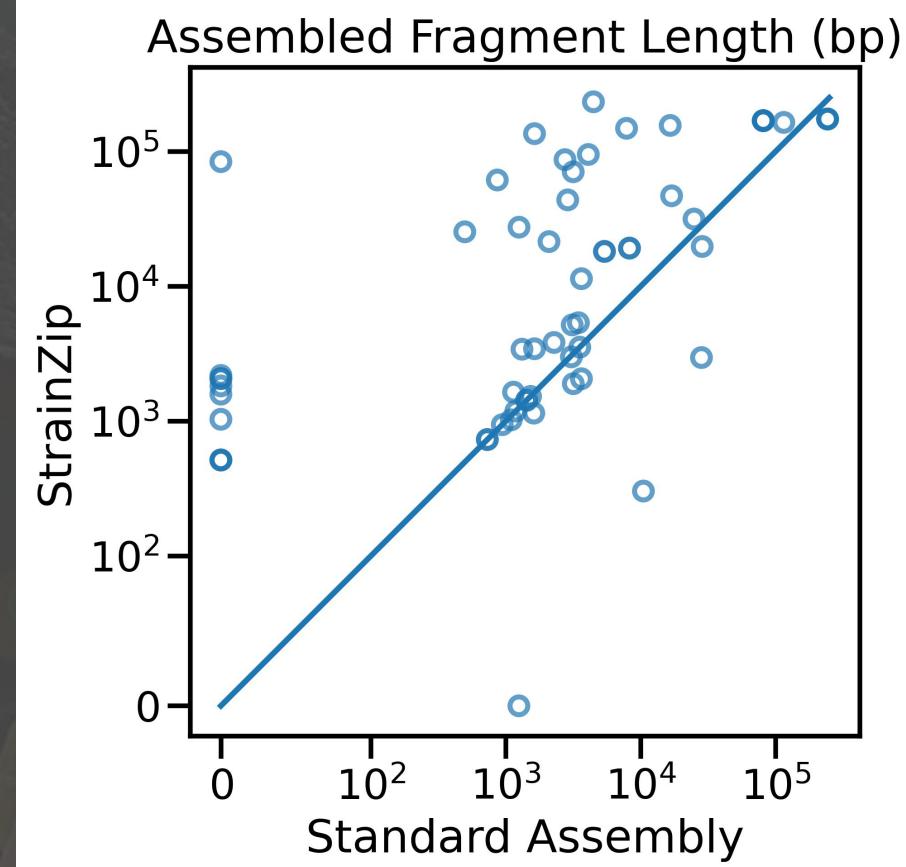
# Antibiotic resistance genes are widespread in the gut microbiome

- Detection can inform treatment
- Can be carried in phage genomes
- Long sequence fragments provide useful information

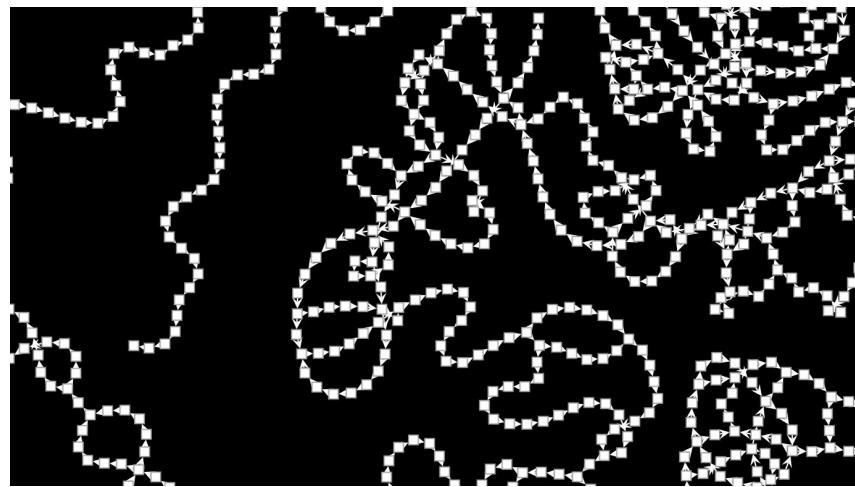


# Antibiotic resistance genes are widespread in the gut microbiome

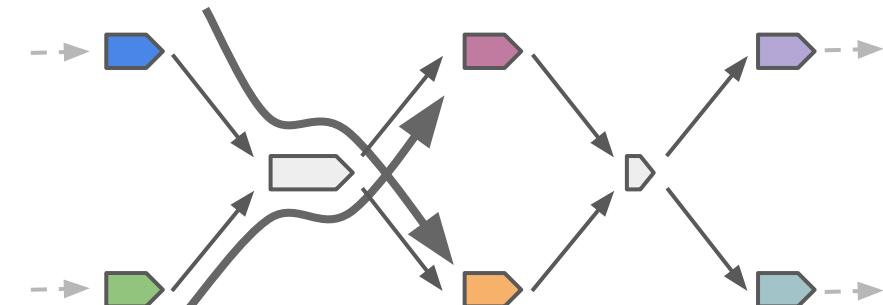
- Detection can inform treatment
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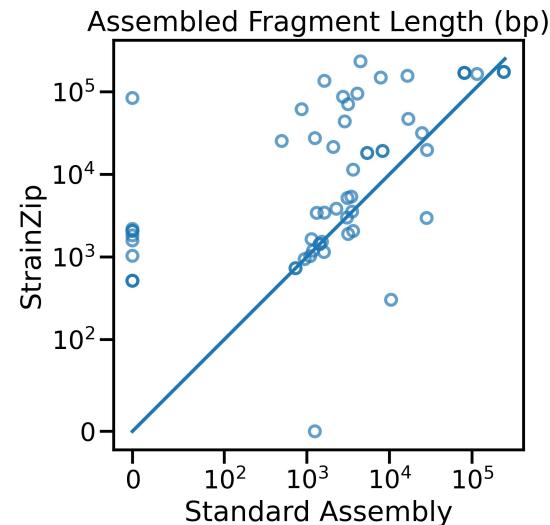
## Complex Metagenome Graphs



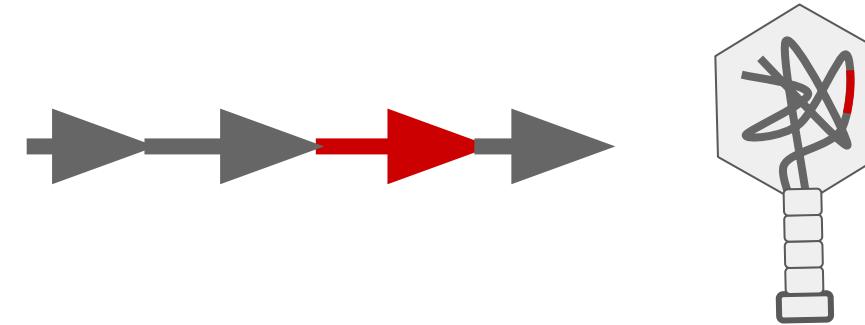
## StrainZip Iteratively Unzips Junctions



## Strain-Resolved Metagenomics

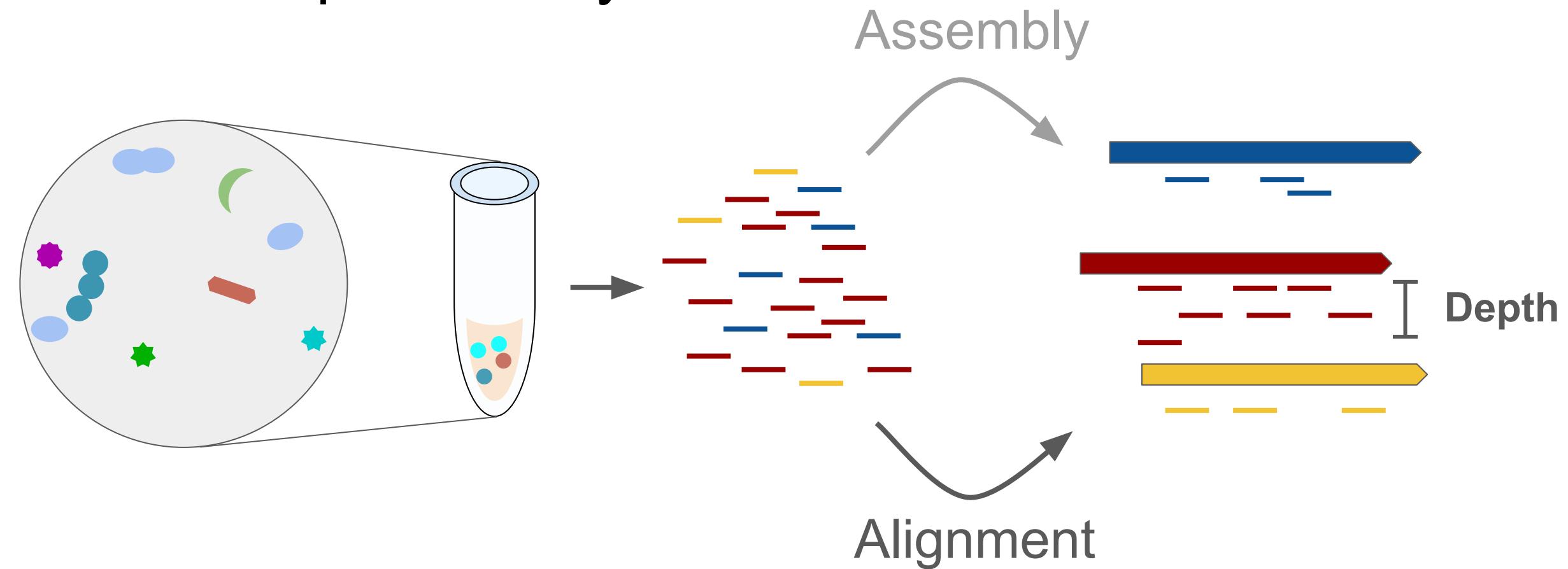


## Antibiotic Resistance Potential of Phage

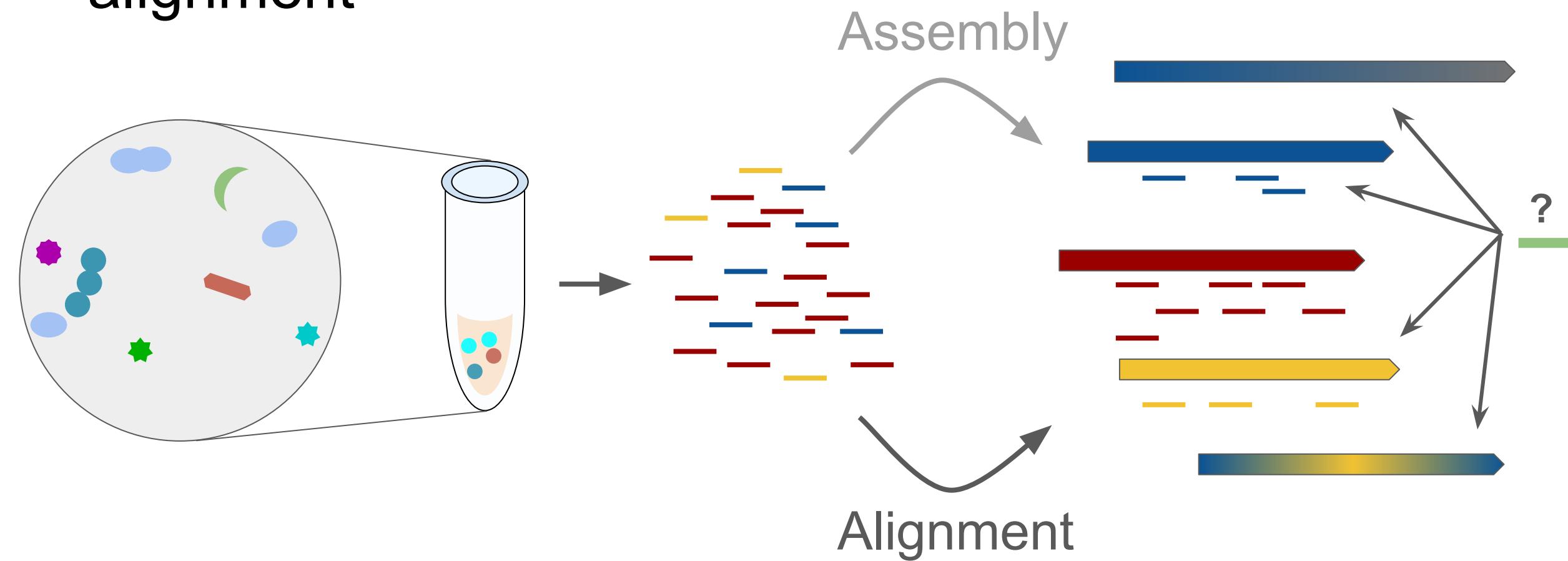


**Rewind:** I also care about  
depth quantification

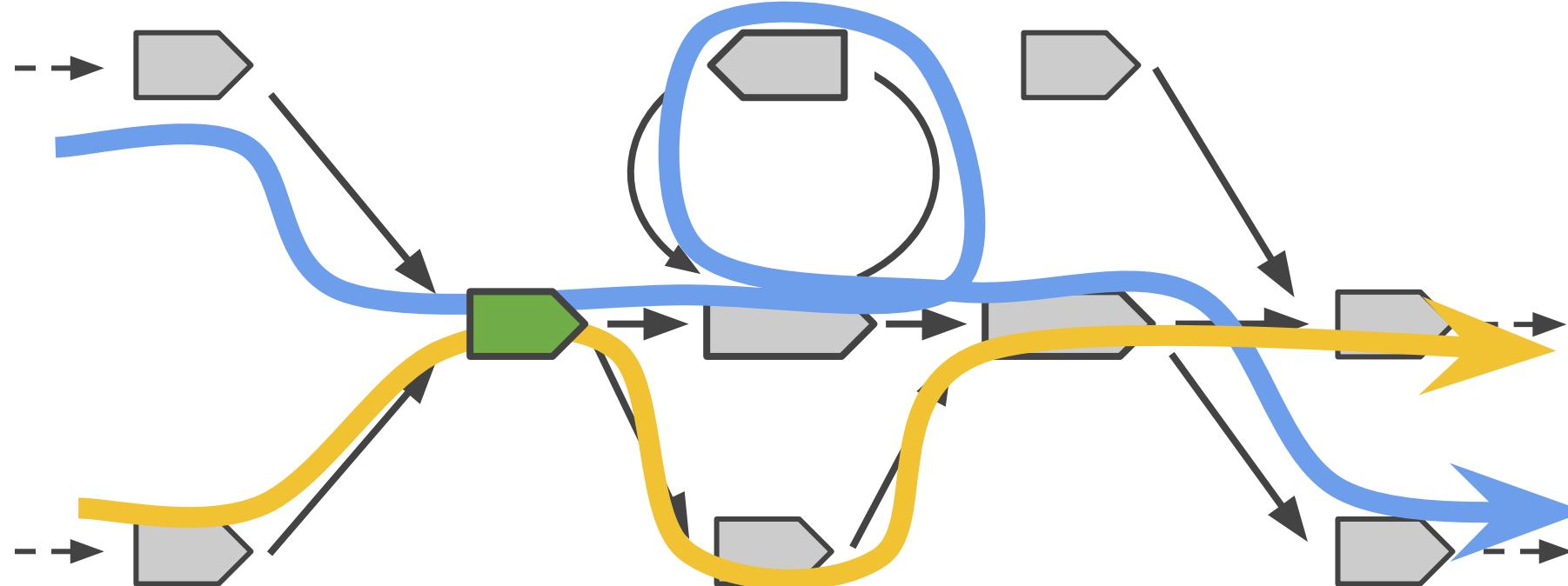
# Assembly and depth quantification are complementary



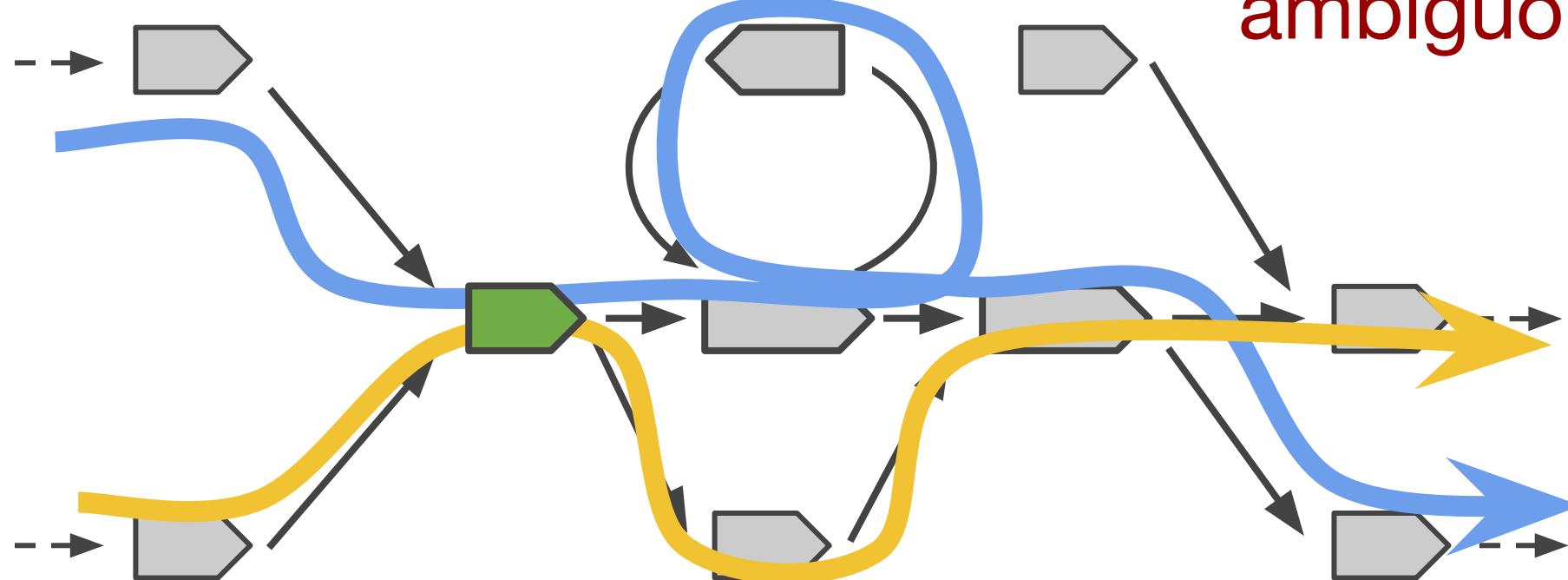
Closely related sequences  
are a major challenge for  
alignment



Shared sequences  
mean reads map  
ambiguously



Shared sequences  
mean reads map  
~~ambiguously~~  
**kmers are  
ambiguous**



# Quick intro to de Bruijn graphs

Read #1

...CGTA CCTGGATTAC...

Assembly

...CGTA CCTGGATTAC**TTAA**...

Read #2

CCTGGATTAC**TTAA**...

## De Bruijn graphs

Motivation: **Assembly** - stitching together longer sequences using overlapping portions

# Fragment reads into k-mers

## Read #1

...CGTA CCTGGATTAC

CGTA

GTAC

TACC

ACCT

CCTG

CTGG

TGGA

GGAT

GATT

ATTA

TTAC

## Read #2

CCTGGATTACTTAA...

CCTG

CTGG

TGGA

GGAT

GATT

ATTA

TTAC

TACT

ACTT

CTTA

TTAA

## All k-mers

...

CGTA

GTAC

TACC

ACCT

CCTG (x2)

CTGG (x2)

TGGA (x2)

GGAT (x2)

GATT (x2)

ATTA (x2)

TTAC (x2)

TACT

ACTT

CTTA

TTAA

...

# Collect unique k-mers

CGTA    GTAC    TACC    ACCT    CCTG    CTGG    TGGA    GGAT    GATT    ATTA    TTAC    TACT    ACTT    CTTA    TTAA

Identify k-mer pairs where (k-1) suffix on one is same as other's prefix

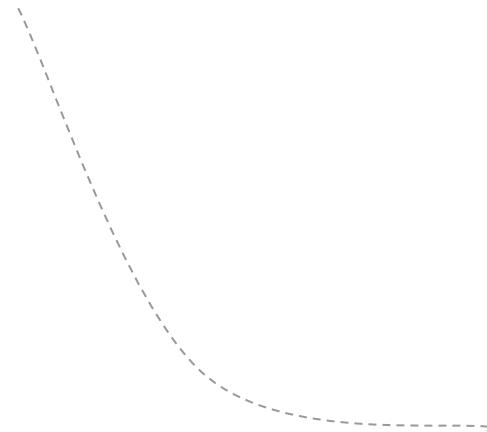
CGTA   GTAC   TACC   ACCT   CCTG   CTGG   TGGA   GGAT   GATT   ATTA   TTAC   TACT   ACTT   CTTA   TTAA

# Draw edge

CGTA → GTAC   TACC   ACCT   CCTG   CTGG   TGGA   GGAT   GATT   ATTA   TTAC   TACT   ACTT   CTTA   TTAA

# Linear paths (unitigs) are assembled sequence

CGTA → GTAC → TACC → ACCT → CCTG → CTGG → TGGA → GGAT → GATT → ATTA → TTAC → TACT → ACTT → CTTA → TTAA



Unitig:

...CGTACCTGGATTAC**TTAA**...

# Mutations / errors introduce new k-mers

## Read #1

...CGTA C CTGG ATTAC

CGTA

GTAC

TACC

ACCT

CCTG

CTGG

TGGA

GGAT

GATT

ATTA

TTAC

## Read #2

CCTG CATTAC TAA...

CCTG

CTGC

TGCA

GCAT

CATT

ATTA

TTAC

TACT

ACTT

CTTA

TTAA

## Diversity / Errors

...CGTACCTG GATTACTTAA...

...CGTACCTG CATTACTTAA...

# Same edge-drawing process

CTGG TGGA GGAT GATT

**CGTA → GTAC** TACC ACCT CCTG

ATTA TTAC TACT ACTT CTTA TTAA

CTGC TGCA GCAT CATT

# Same edge-drawing process



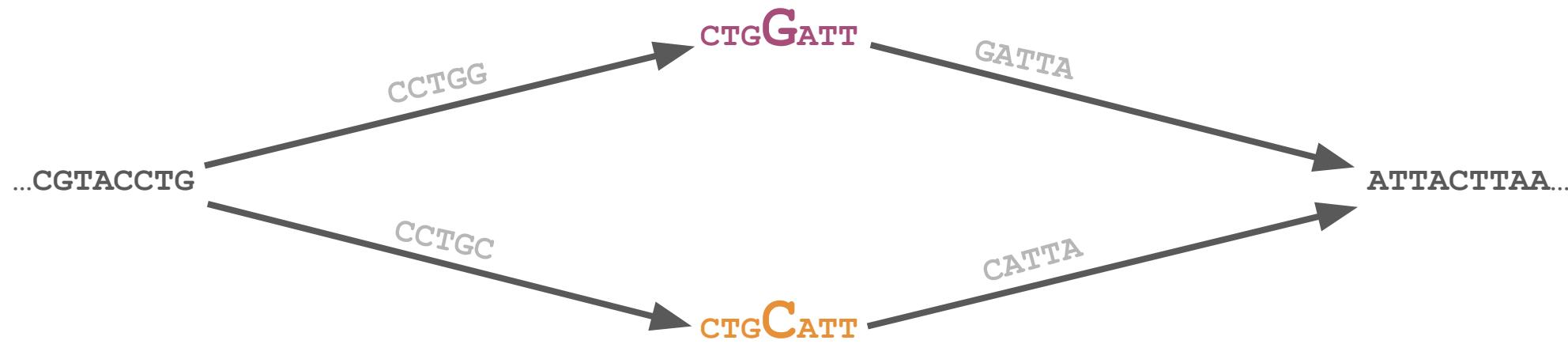
But now some k-mers have multiple edges



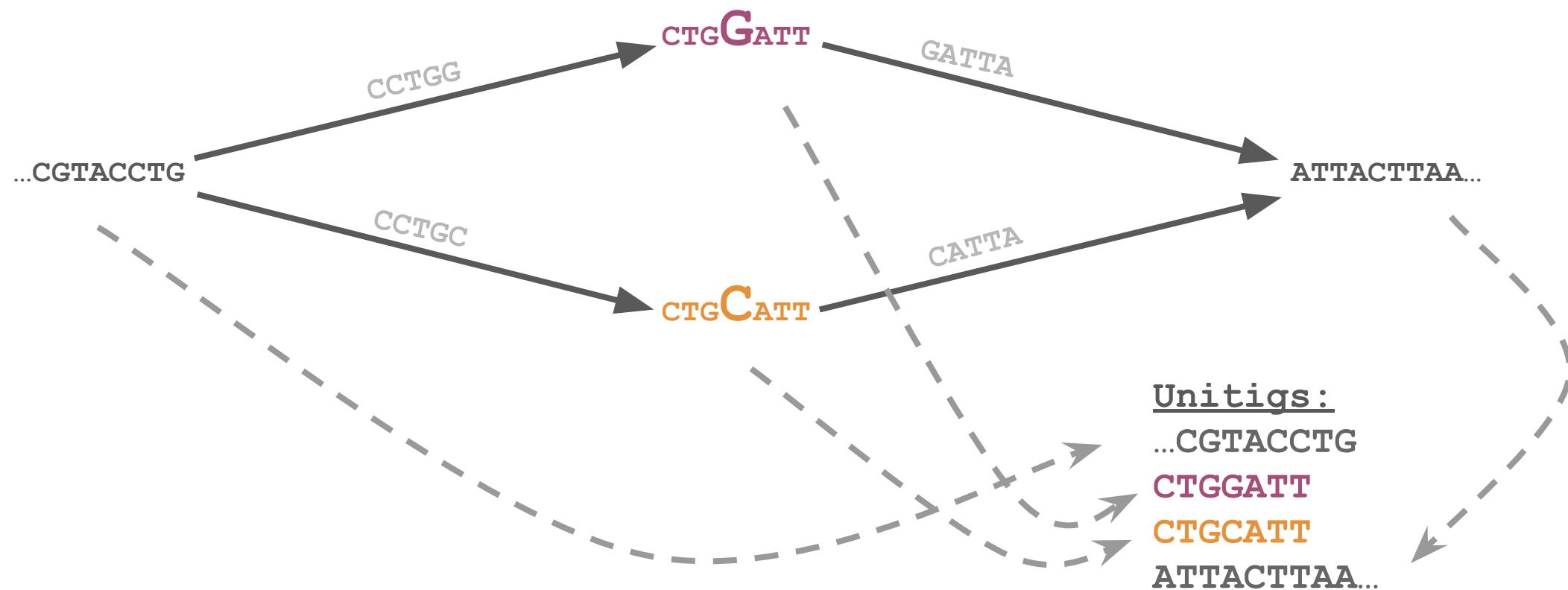
This introduces a "bubble"



The two sides of the bubble reflect the observed diversity



Again we extract unitigs, but now they're shorter, fragmented



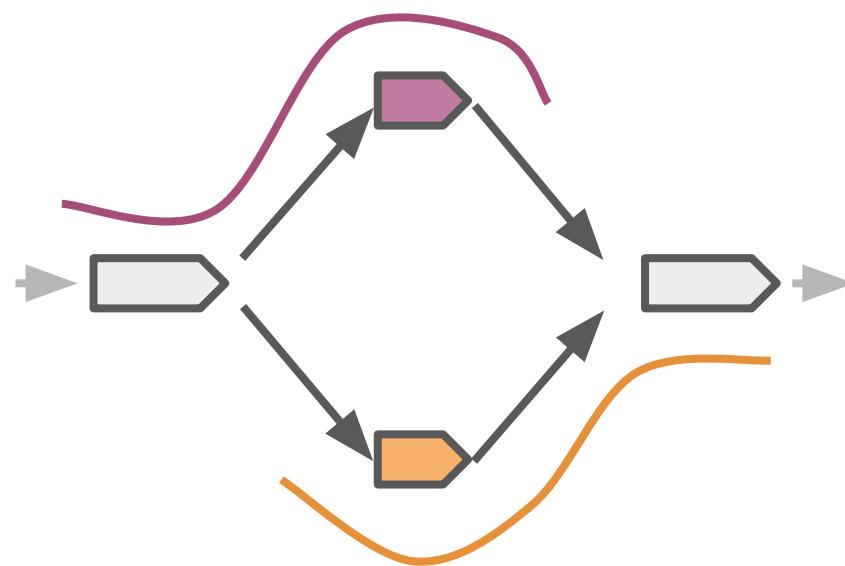
Sequences are walks along the graph;  
can align reads without worrying about fragmentation

Read #1

...CGTACTGGATTAC

Read #2

CCTGCATTACTTAA...



# Alternatively: Exact k-mer counting

<u>Unitig #1</u>	<u>Unitig #2</u>	<u>Unitig #3</u>	<u>Unitig #4</u>
CGTA	CTGG	CTGC	ATTA (x2)
GTAC	TGGA	TGCA	TTAC (x2)
TACC	GGAT	GCAT	TACT
ACCT	GATT	CATT	ACTT
CCTG (x2)			CTTA
			TTAA



# Alternatively: Exact k-mer counting

Much faster than read alignment

Every k-mer in the sample is in the dBG, by construction

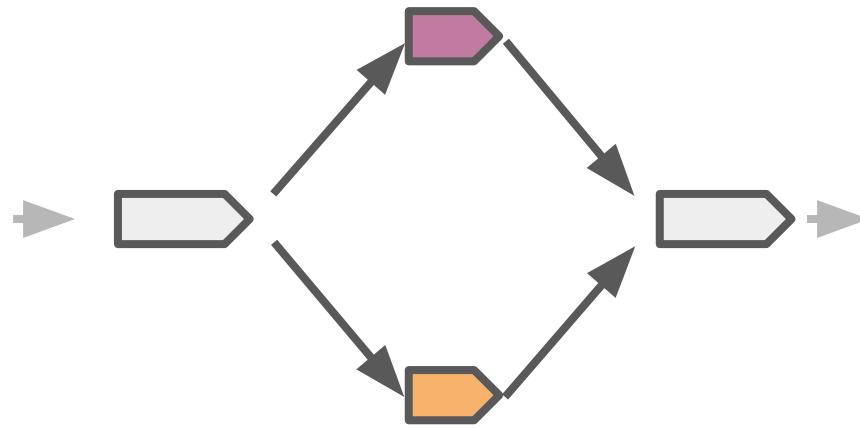


# Alternatively: Exact k-mer counting

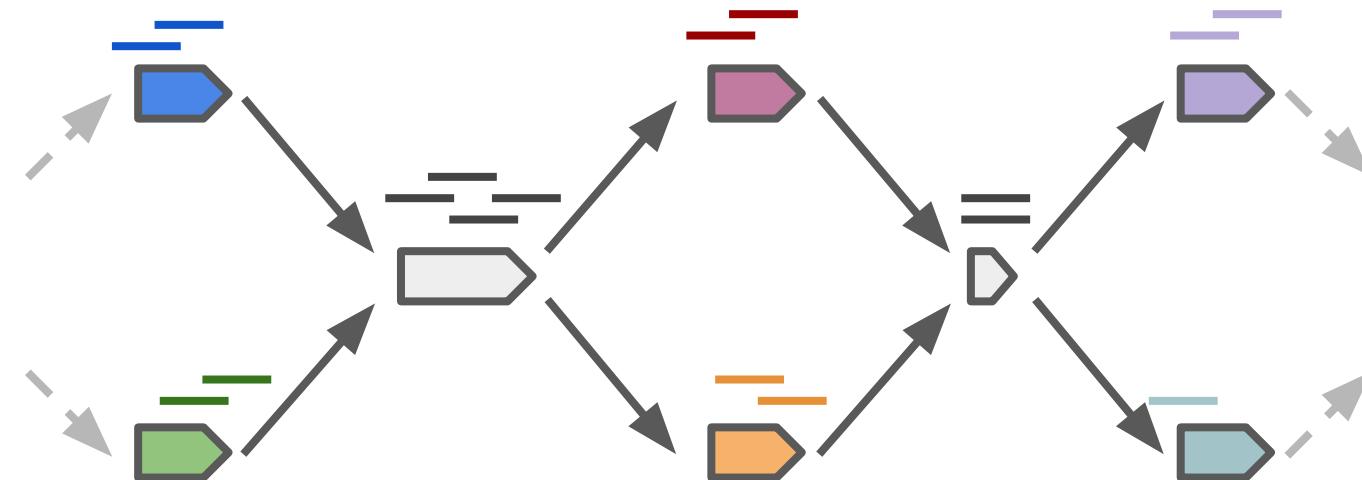
Much faster than read alignment

Every k-mer in the sample is in the dBG, by construction

No ambiguity about what is being quantified: it's unitigs



**KEY IDEA:** The expected depth of a k-mer is the sum of the paths that include that k-mer



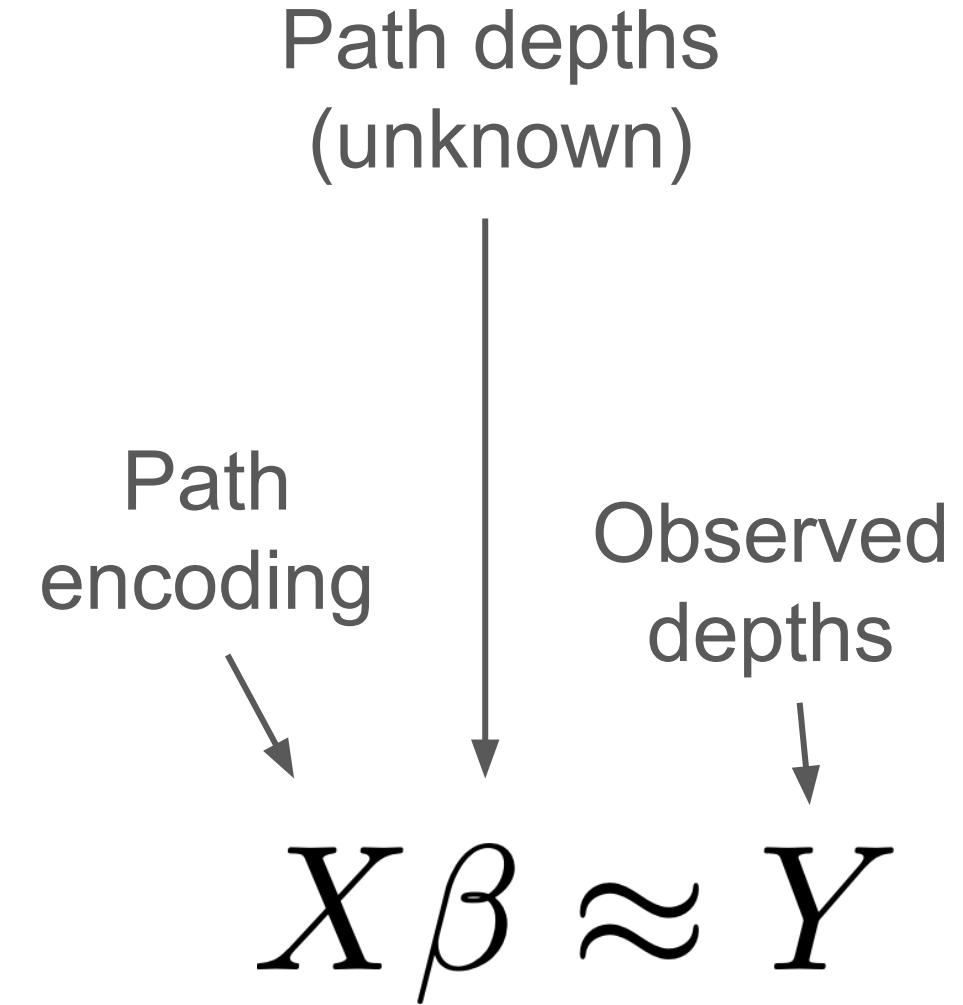
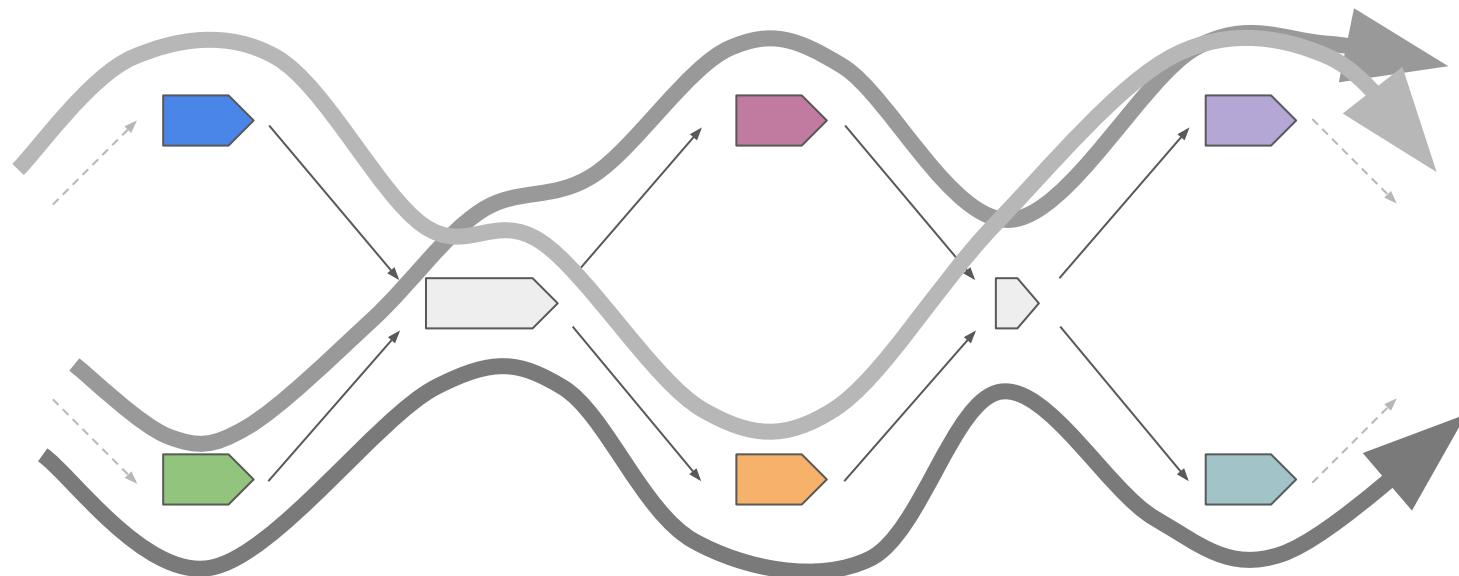
Path depths  
(unknown)

Indicator:  
k-mer in path

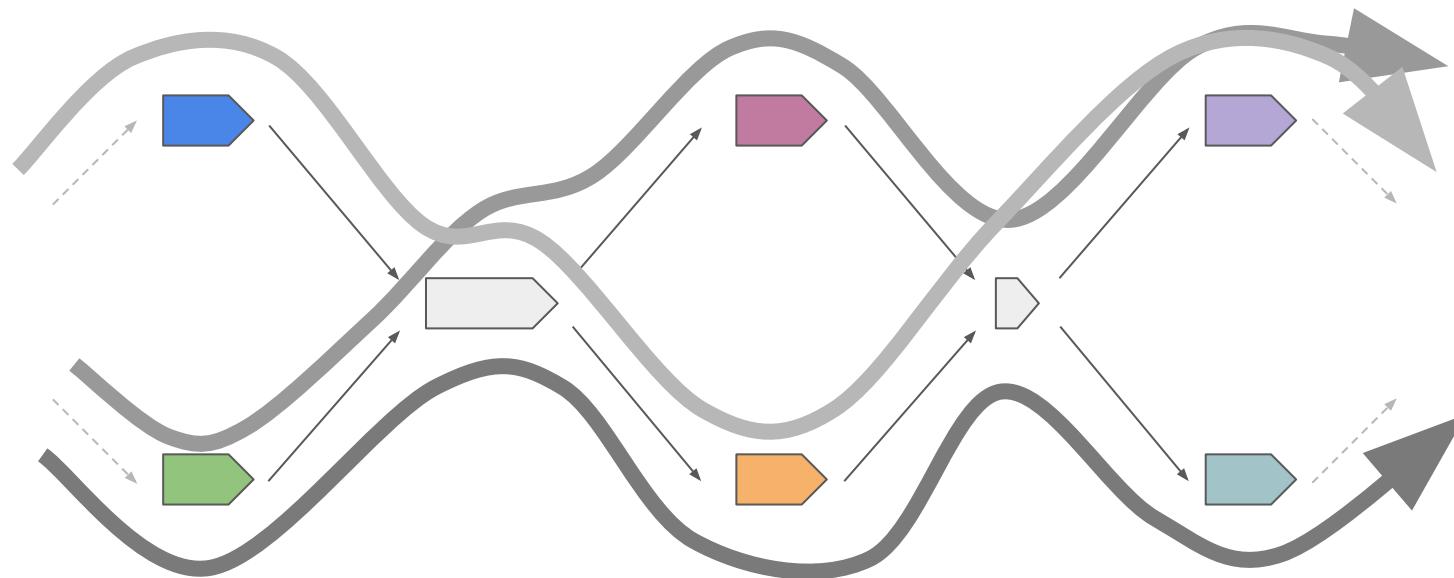
Observed  
depths

$$\sum_p x_{pk} \beta_p \approx Y_k$$

**KEY IDEA:** The expected depth of a k-mer  
is the sum of the paths that include that k-mer



**KEY IDEA:** The expected depth of a k-mer is the sum of the paths that include that k-mer

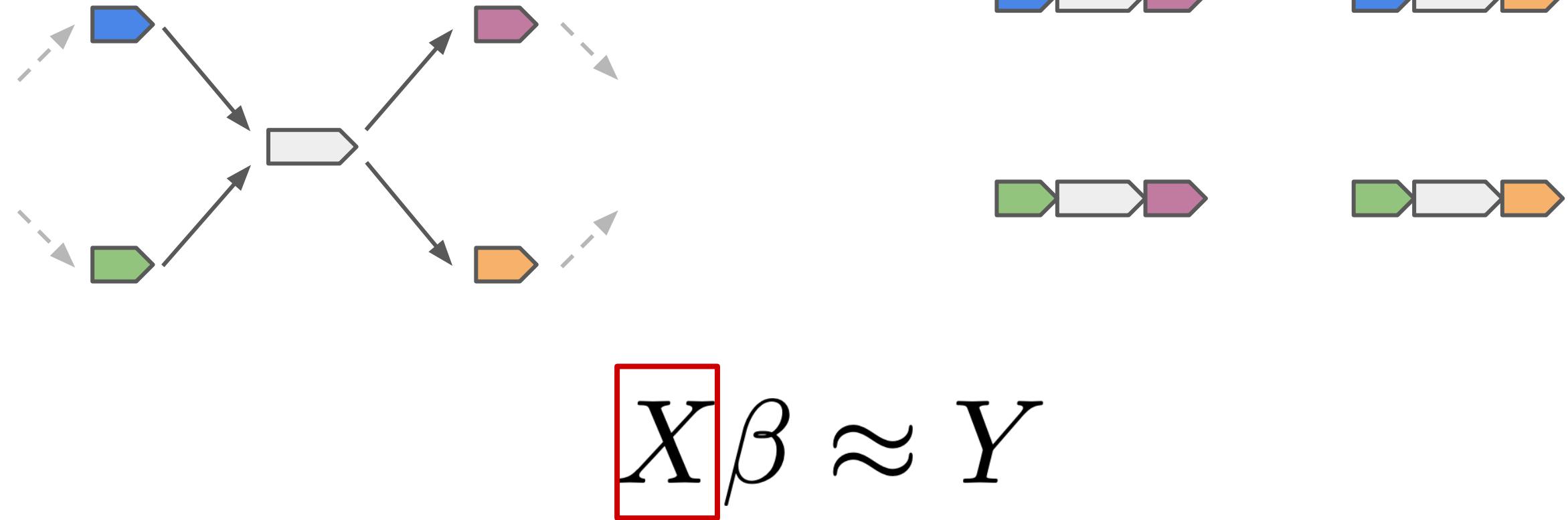


**Deconvolution:** Inferring the depth of these latent paths based on observed k-mer depths

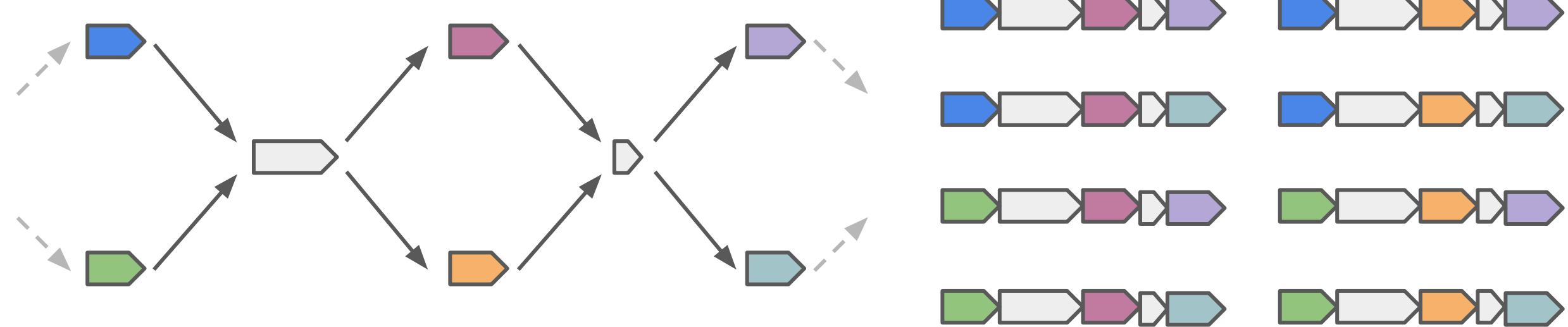
Estimate this  
From these

$$X\beta \approx Y$$

We can enumerate all possible paths on our assembly graph

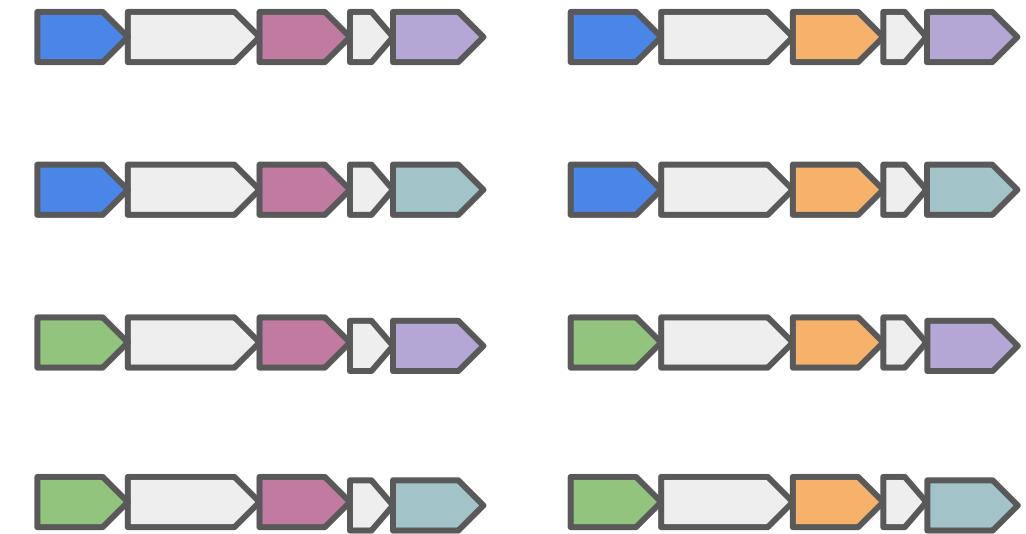
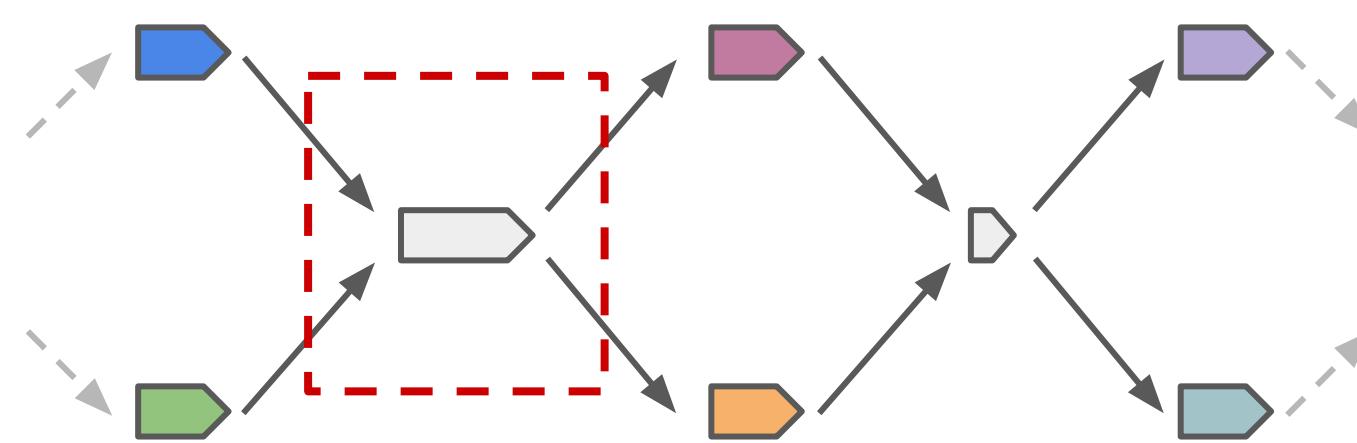


We can enumerate all possible paths on our assembly graph

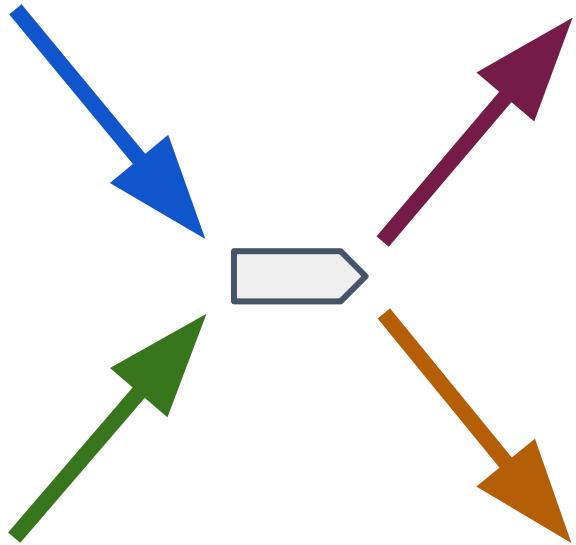


...but this grows exponentially with graph complexity

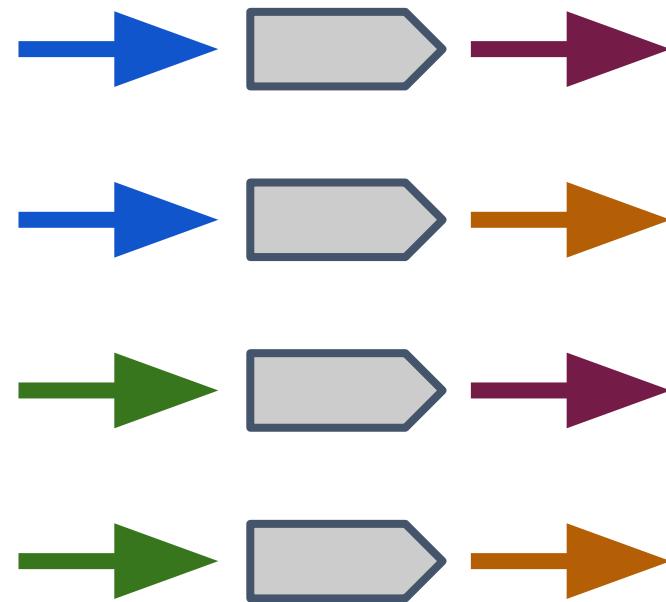
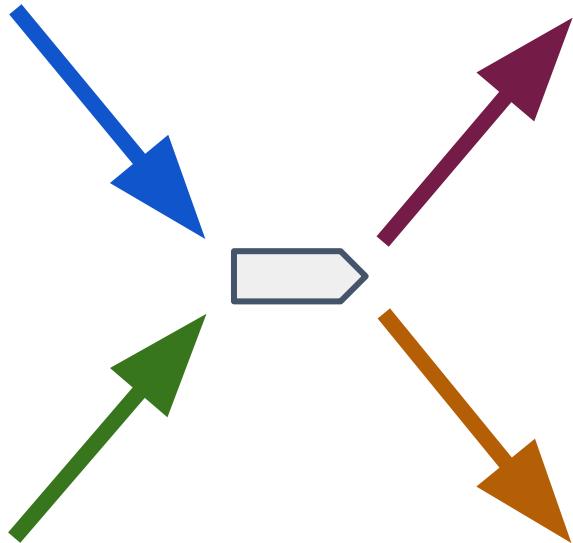
**KEY IDEA:** A single "junction" is the minimum unit of deconvolution



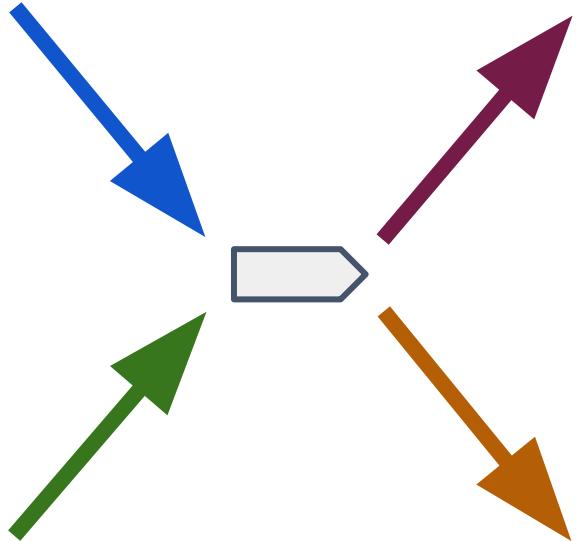
**KEY IDEA:** A single "junction" is the minimum unit of deconvolution



**KEY IDEA:** A single "junction" is the minimum unit of deconvolution



Focus on just one junction at a time  
Quantify local paths

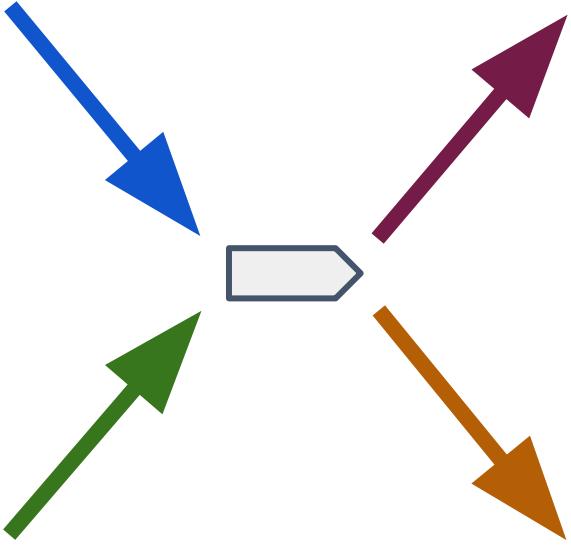


$$\begin{array}{c} \text{Legend: } \\ \begin{array}{l} \text{Blue arrow: } 1 \\ \text{Green arrow: } 0 \\ \text{Maroon arrow: } 1 \\ \text{Orange arrow: } 0 \end{array} \end{array} \quad \begin{matrix} & \downarrow & \downarrow & \downarrow & \downarrow \\ \begin{matrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{matrix} & \times & \begin{matrix} p_1 \\ p_2 \\ p_3 \\ p_4 \end{matrix} & \approx & \begin{matrix} e_1 \\ e_2 \\ e_3 \\ e_4 \end{matrix} \end{matrix}$$

$X \quad \beta \quad Y$

Linear regression

Focus on just one junction at a time  
Select (and quantify) local paths

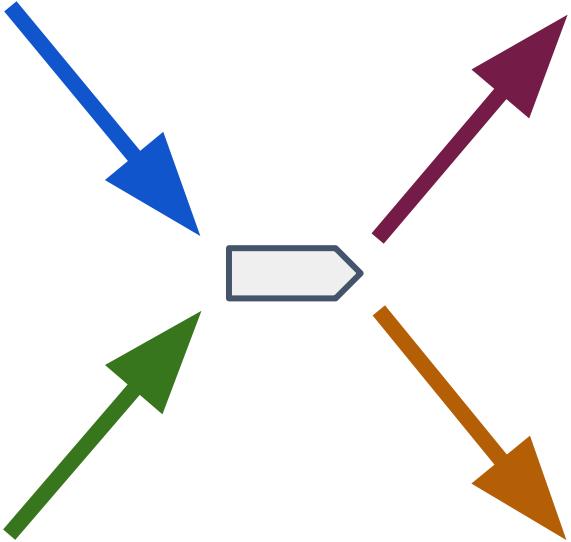


$$\begin{matrix} & \downarrow & \downarrow & \downarrow & \downarrow \\ \rightarrow & \begin{matrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{matrix} & X & \times & \begin{matrix} p_1 \\ p_2 \\ p_3 \\ p_4 \end{matrix} & \beta & \approx & \begin{matrix} e_1 \\ e_2 \\ e_3 \\ e_4 \end{matrix} & Y \end{matrix}$$

The diagram illustrates a linear regression model selection process. It shows a matrix  $X$  with four columns and four rows, representing local paths. Above  $X$  are four downward arrows of different colors (blue, green, maroon, orange). To the right of  $X$  is a multiplication sign ( $\times$ ). To the right of  $\times$  is a parameter vector  $\beta$ , with a red diagonal slash through it. To the right of  $\beta$  is an approximation symbol ( $\approx$ ). To the right of  $\approx$  is a vector  $Y$ . The matrix  $X$  has values: Row 1: 1, 1, 0, 0; Row 2: 0, 0, 1, 1; Row 3: 1, 0, 1, 0; Row 4: 0, 1, 0, 1.

Linear regression  
Model selection

Focus on just one junction at a time  
Select (and quantify) local paths



The diagram shows the multiplication of two matrices,  $X$  and  $\beta$ , resulting in matrix  $Y$ .

**Matrix  $X$ :**

1	1	0	0
0	0	1	1
1	0	1	0
0	1	0	1

**Matrix  $\beta$ :**

$p_{1,1}$	$p_{1,2}$	<del><math>p_{1,3}</math></del>
$p_{2,1}$	$p_{2,2}$	$p_{2,3}$
$p_{3,1}$	$p_{3,2}$	$p_{3,3}$
<del><math>p_{4,1}</math></del>	$p_{4,2}$	<del><math>p_{4,3}</math></del>

**Matrix  $Y$ :**

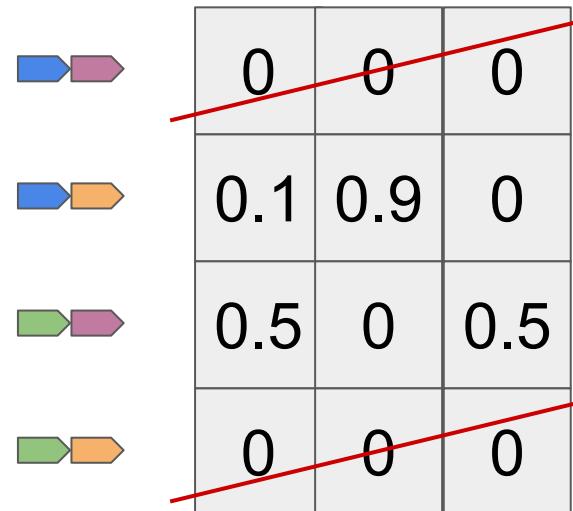
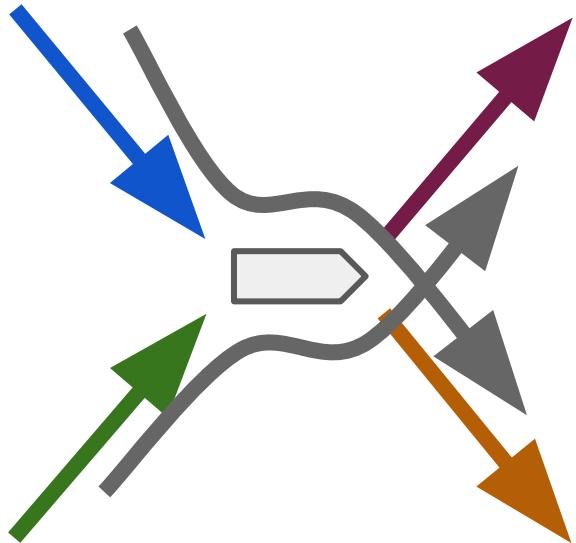
$e_{1,1}$	$e_{1,2}$	$e_{1,3}$
$e_{2,1}$	$e_{2,2}$	$e_{2,3}$
$e_{3,1}$	$e_{3,2}$	$e_{3,3}$
$e_{4,1}$	$e_{4,2}$	$e_{4,3}$

**Operations:**

- Matrix  $X$ :** Rows are colored by arrows: blue (row 1), green (row 2), purple (row 3), orange (row 4).
- Matrix  $\beta$ :** Rows 1 and 3 are crossed out with red lines.
- Matrix  $Y$ :** Rows are colored by arrows: blue (row 1), green (row 2), purple (row 3), orange (row 4).

# Linear regression Model selection Across multiple samples

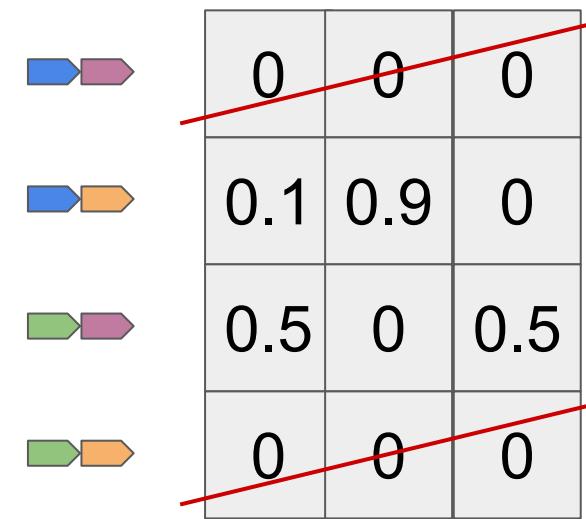
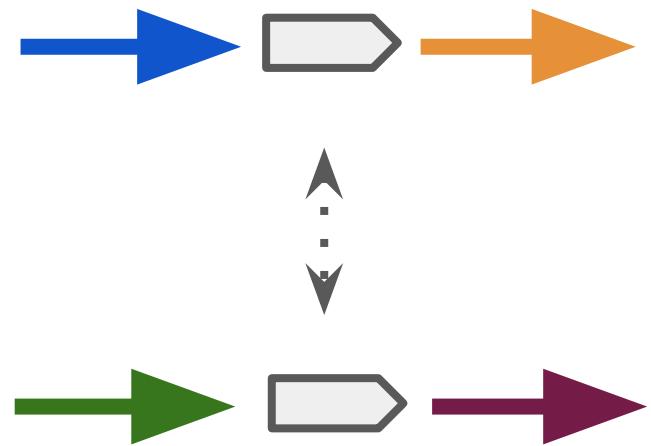
# Drop paths with no depth in any sample



$$\hat{\beta}$$

Used statistical linkage to resolve ambiguity about  
which of possible paths are "real"

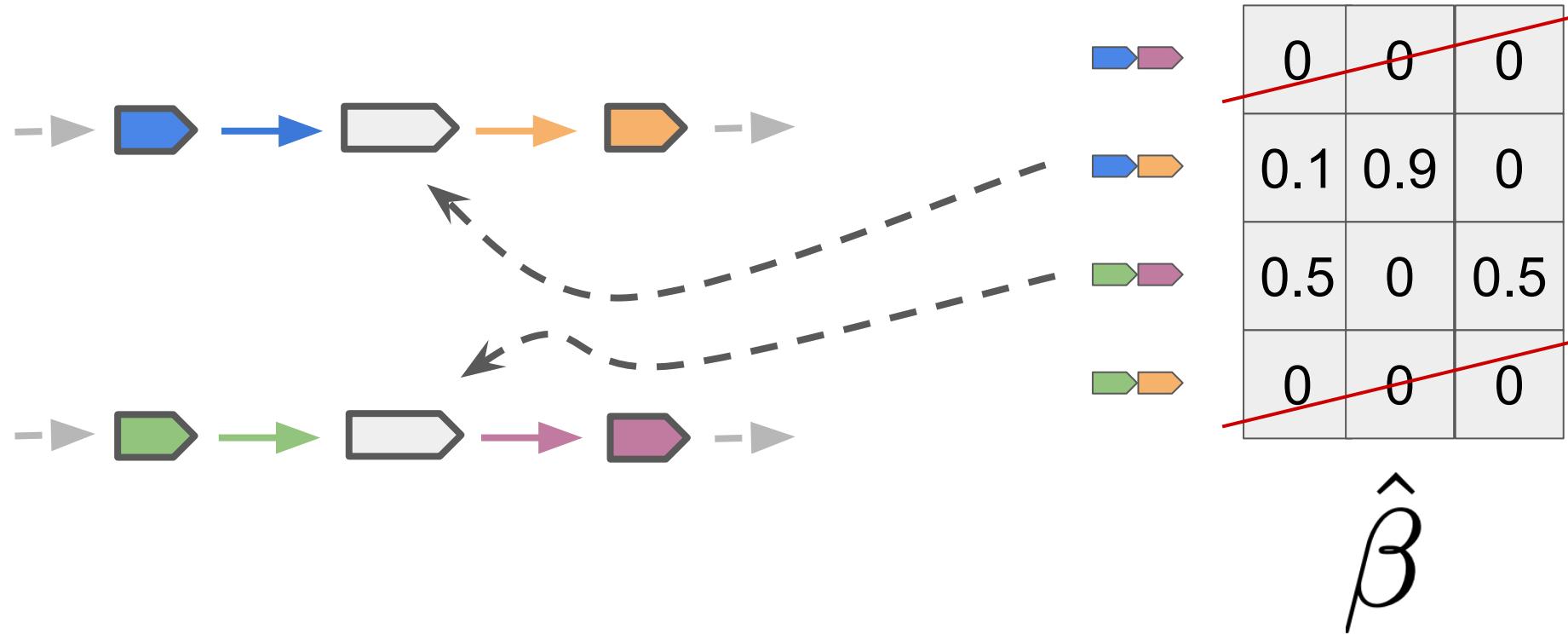
# Resolve ambiguity, longer linear sequences



$\hat{\beta}$

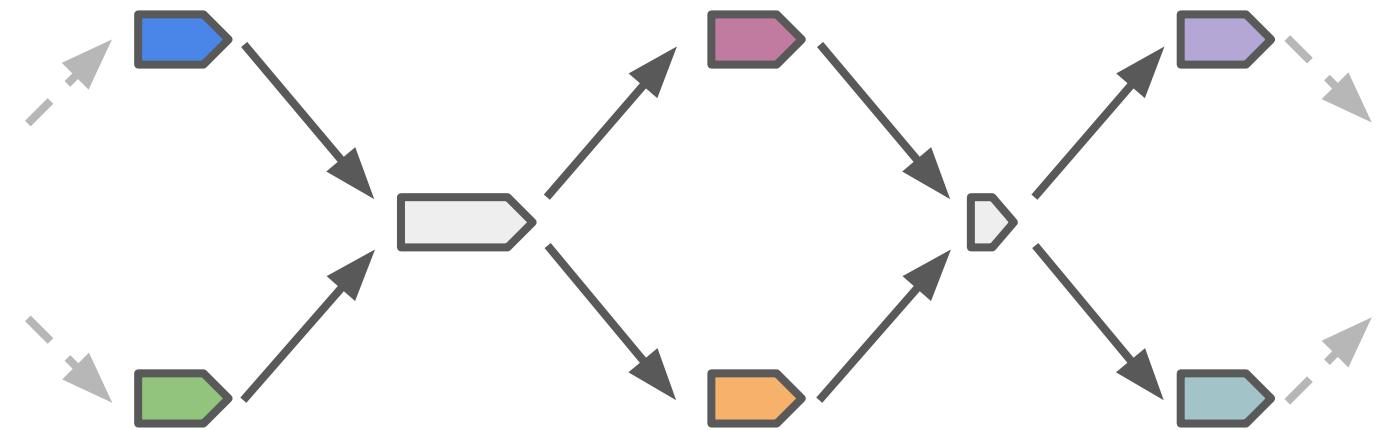
Can "unzip" this unitig into two paths

# Resolve ambiguity, longer linear sequences

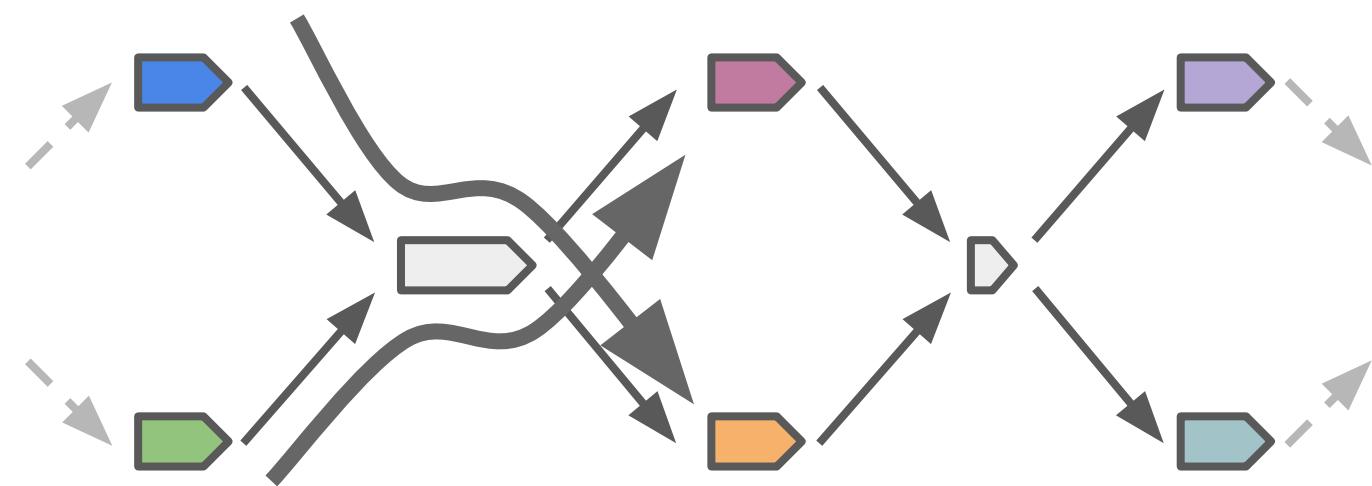


Newly split unitigs already have depths estimated across samples

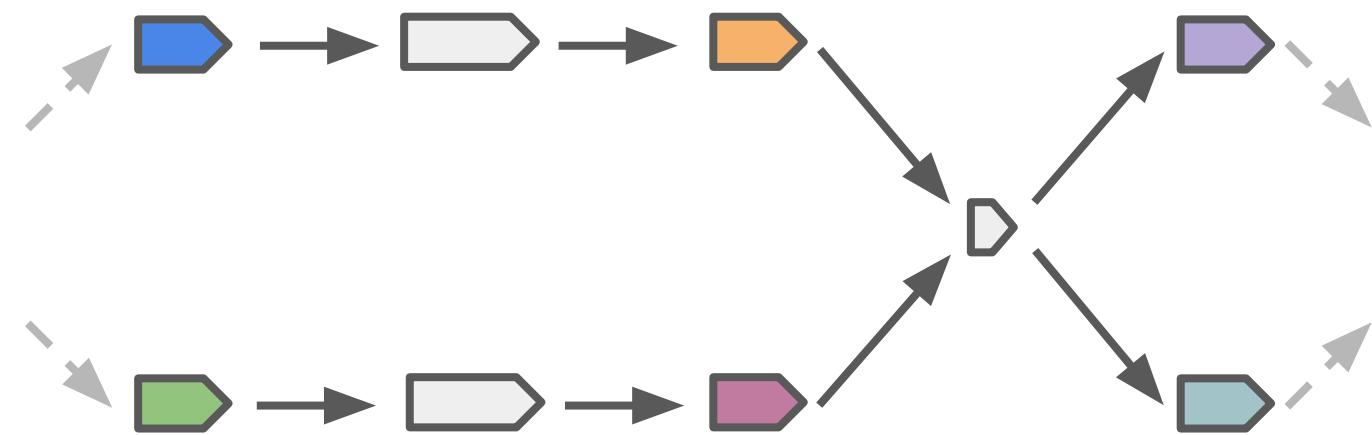
# Iteratively unzipping local junctions



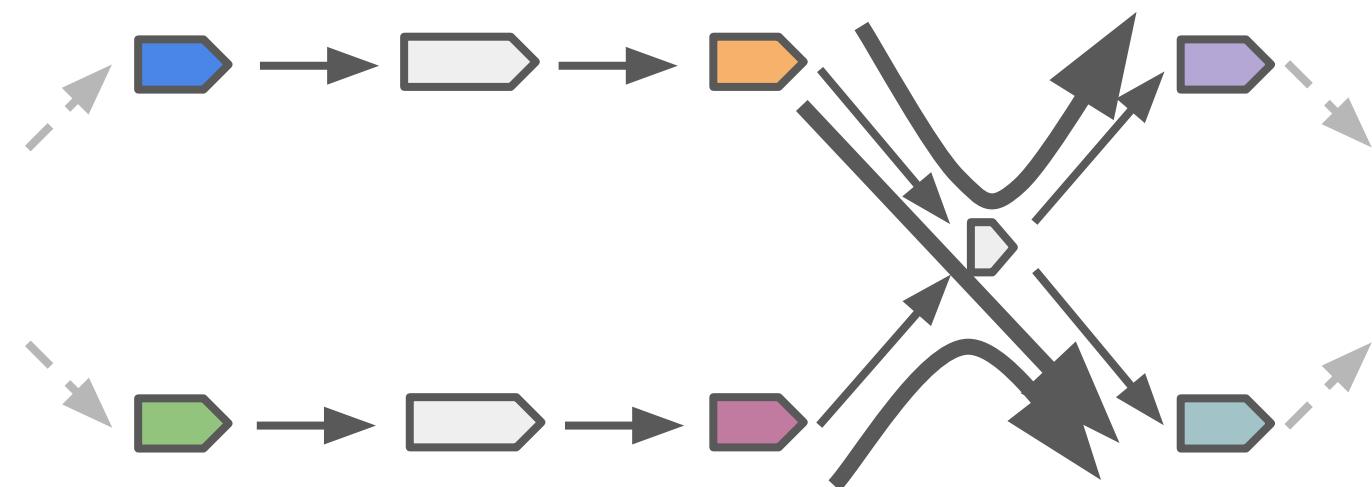
# Iteratively unzipping local junctions



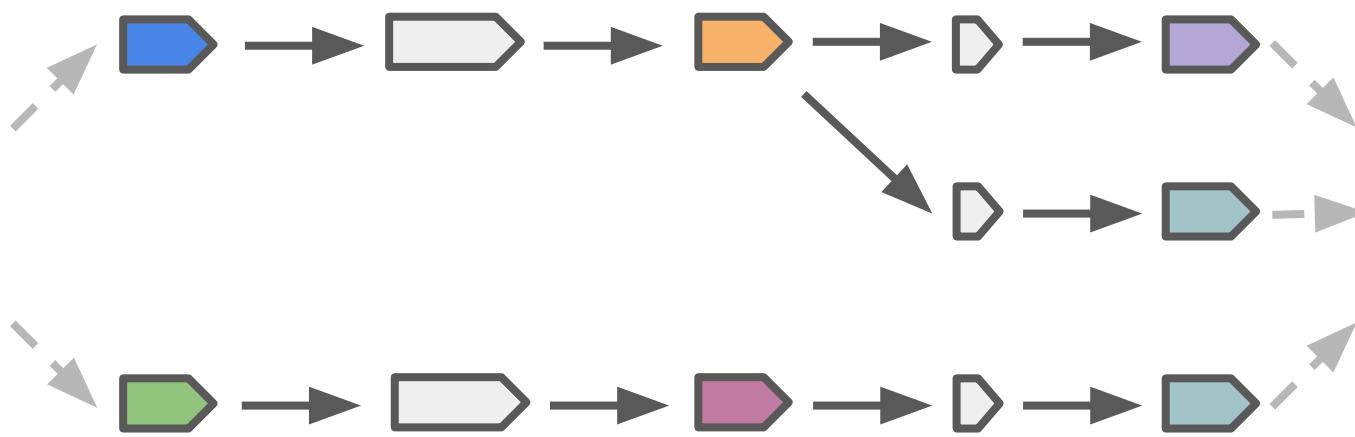
# Iteratively unzipping local junctions



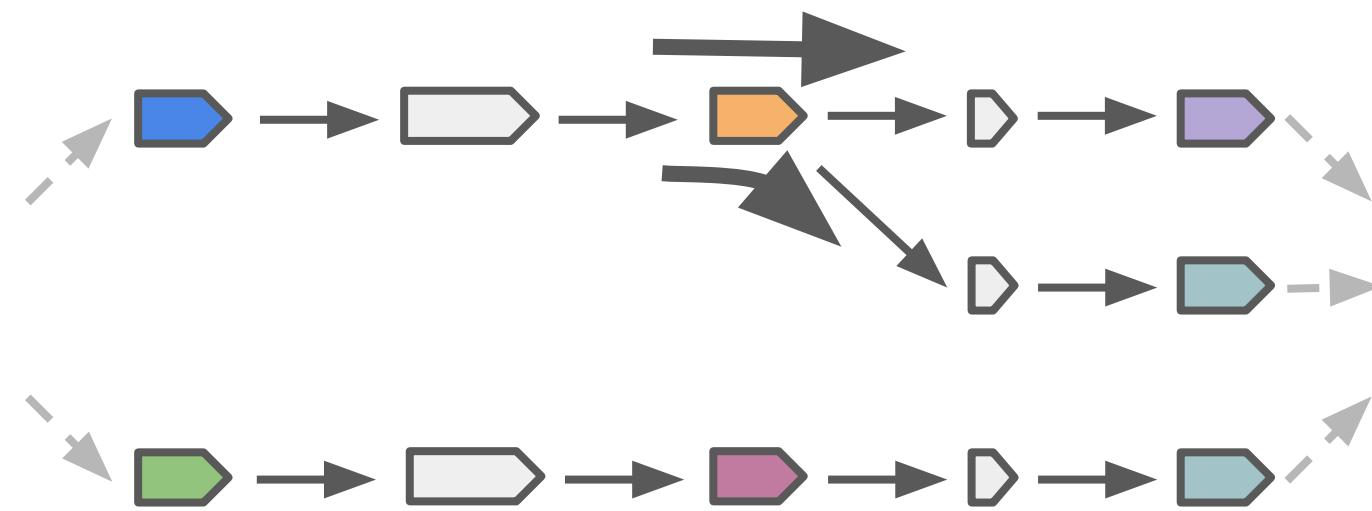
# Iteratively unzipping local junctions



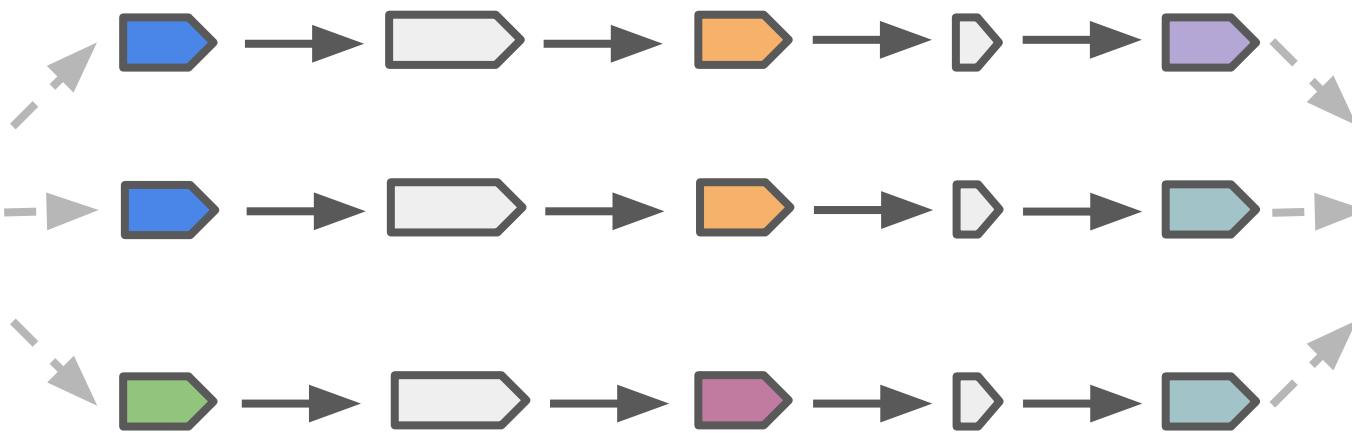
# Iteratively unzipping local junctions



# Iteratively unzipping local junctions



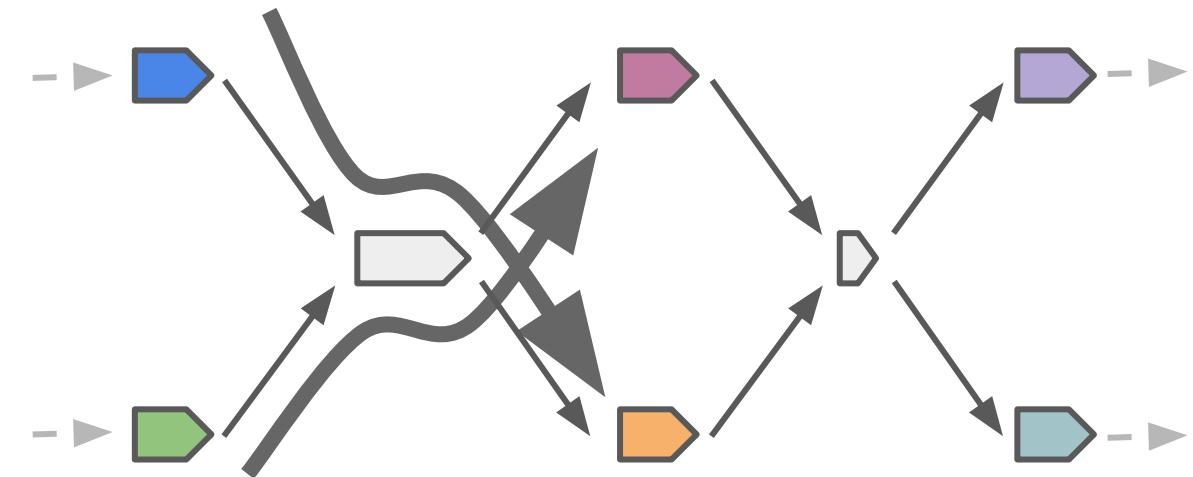
# Iteratively unzipping local junctions



# StrainZip

Assembly Graph Deconvolution for  
Quantification of Strain-Specific  
Sequences across Metagenomes

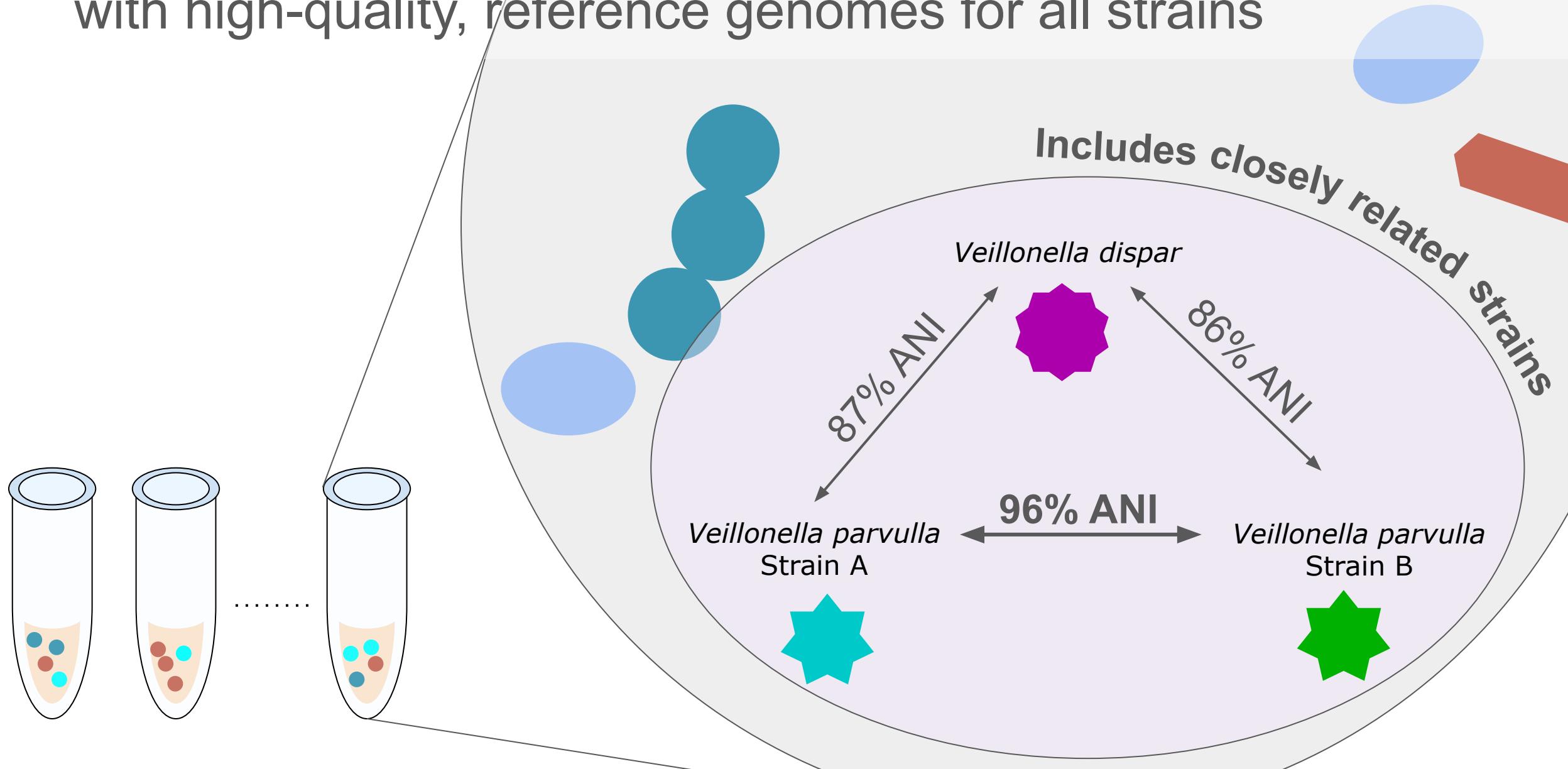
<https://github.com/bsmith89/StrainZip>

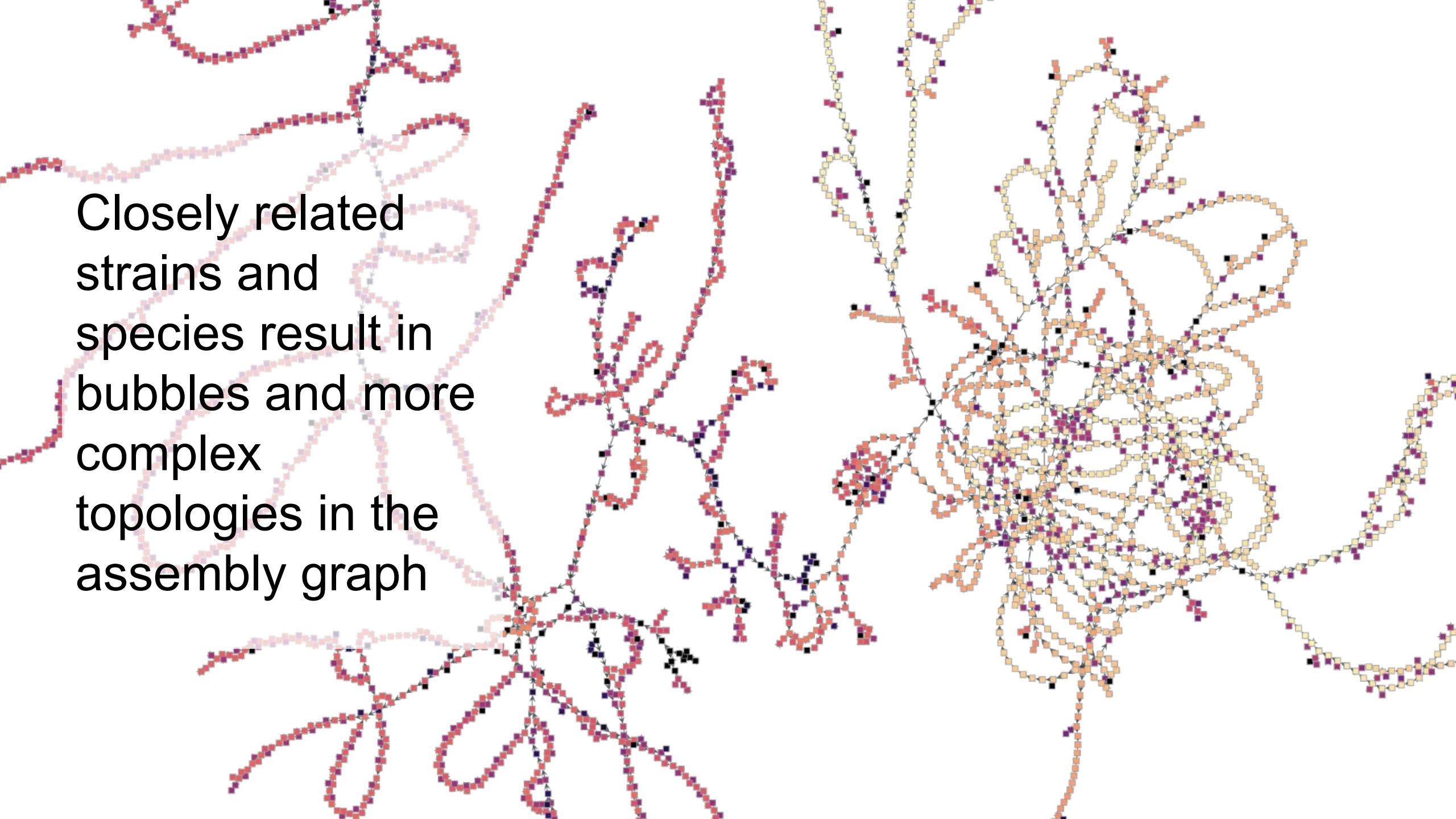


$$\begin{array}{c} \textcolor{violet}{\downarrow} \quad \textcolor{blue}{\downarrow} \quad \textcolor{green}{\downarrow} \quad \textcolor{orange}{\downarrow} \\ \textcolor{blue}{\rightarrow} \quad \begin{matrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{matrix} \quad \times \quad \begin{matrix} p_{1,1} & p_{1,2} & p_{1,3} \\ p_{2,1} & p_{2,2} & p_{2,3} \\ p_{3,1} & p_{3,2} & p_{3,3} \\ p_{4,1} & p_{4,2} & p_{4,3} \end{matrix} \quad \approx \quad \begin{matrix} e_{1,1} & e_{1,2} & e_{1,3} \\ e_{2,1} & e_{2,2} & e_{2,3} \\ e_{3,1} & e_{3,2} & e_{3,3} \\ e_{4,1} & e_{4,2} & e_{4,3} \end{matrix} \\ \textcolor{violet}{\rightarrow} \quad \textcolor{orange}{\rightarrow} \quad \textcolor{teal}{\rightarrow} \quad \textcolor{lightblue}{\rightarrow} \end{array}$$

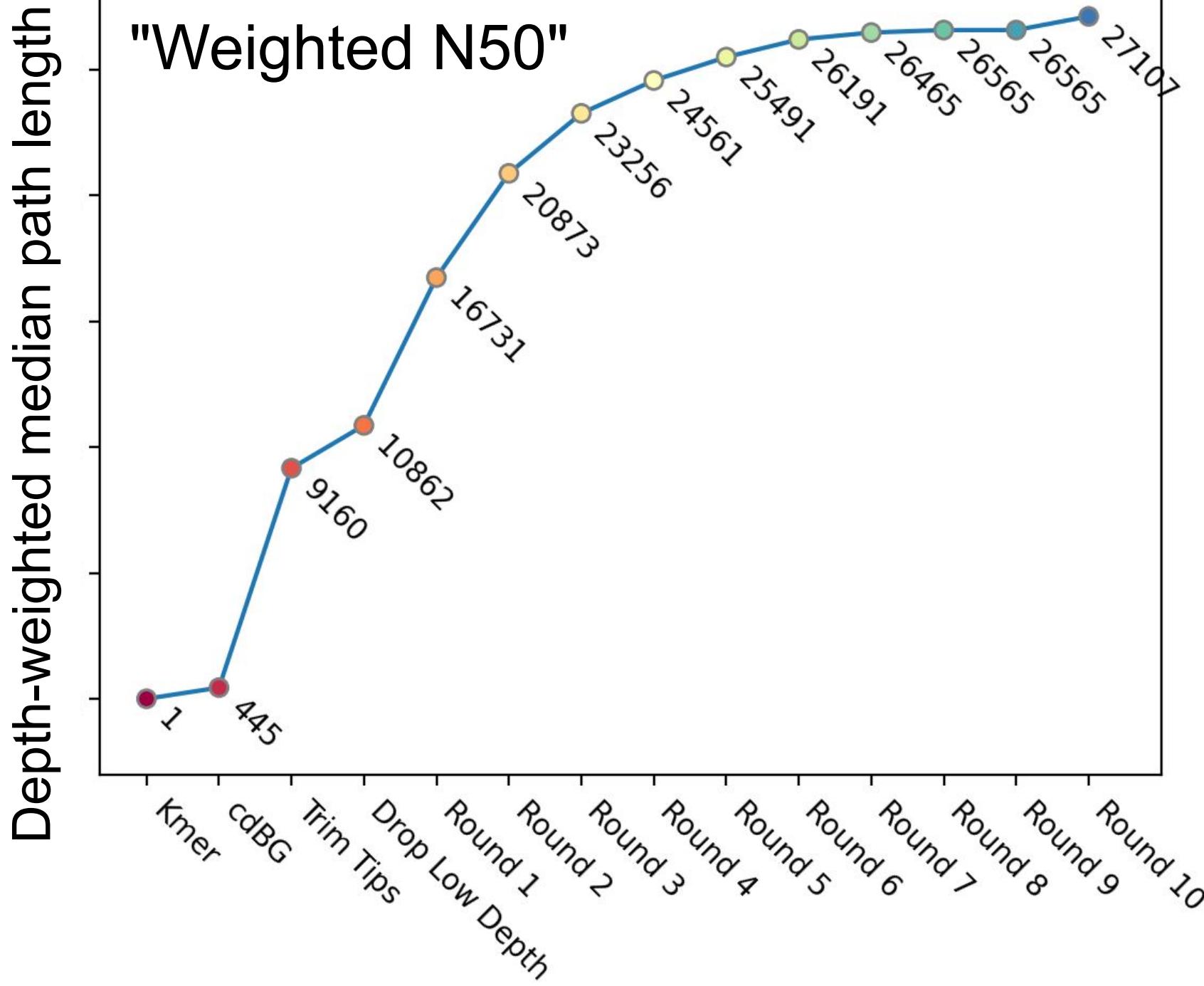
# Benchmarking

**hCOM2** is a complex (125 species), synthetic community with high-quality, reference genomes for all strains





Closely related strains and species result in bubbles and more complex topologies in the assembly graph

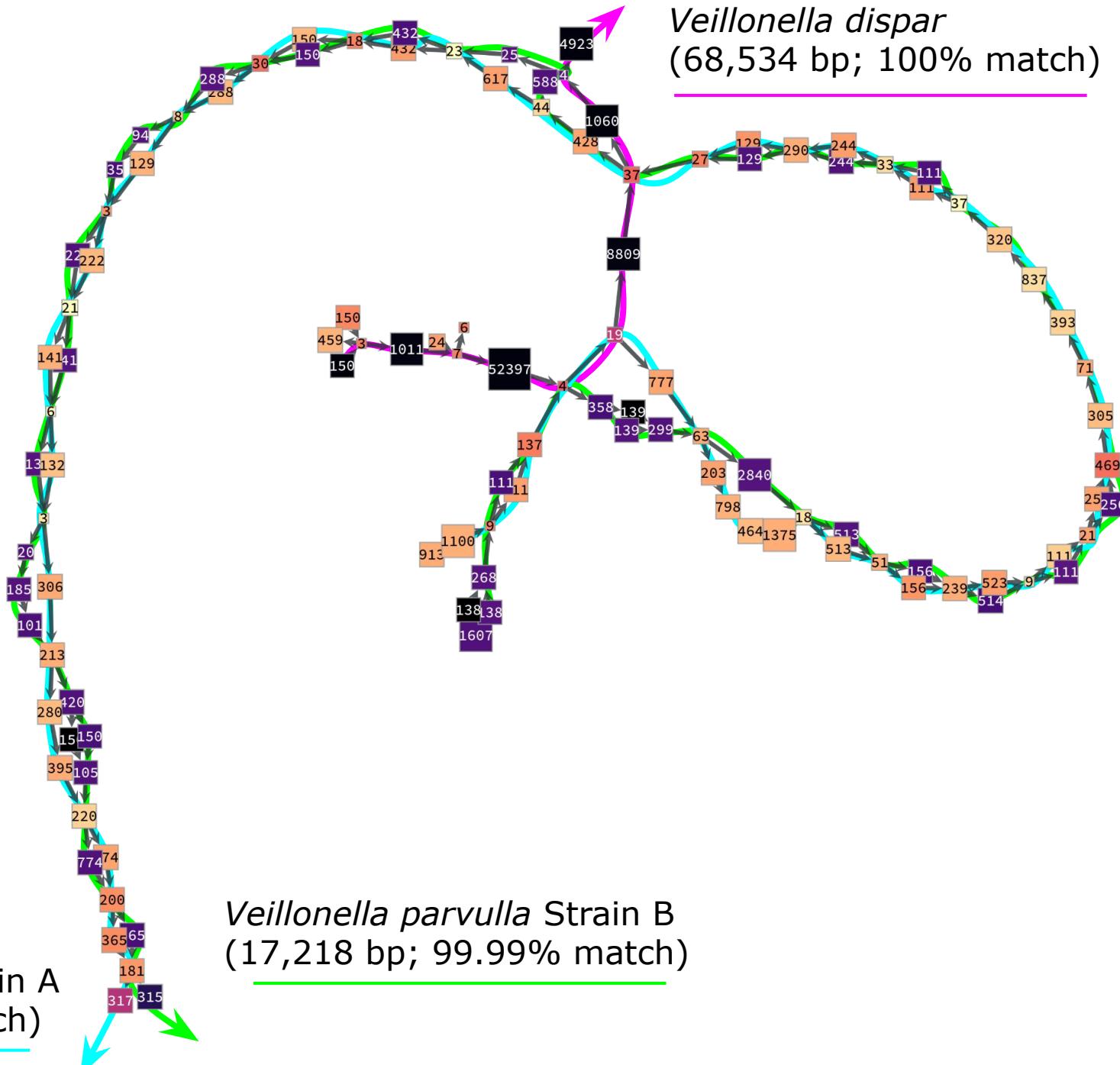


Path lengths  
increase over  
successive rounds  
of deconvolution

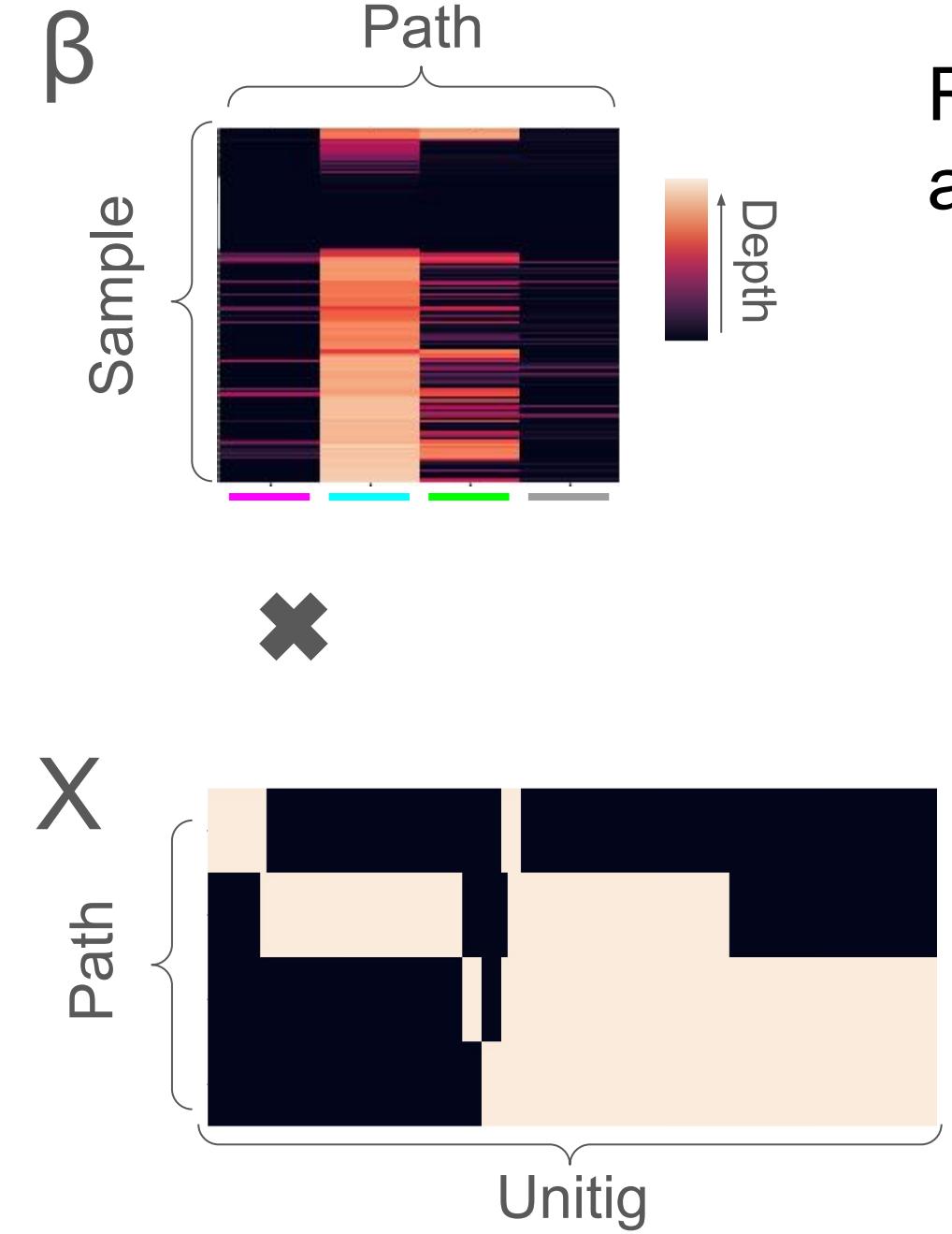
Deconvolution  
recovers longer,  
strain-specific  
sequences

...including  
lower-abundance  
strains  
...and species  
...accurately

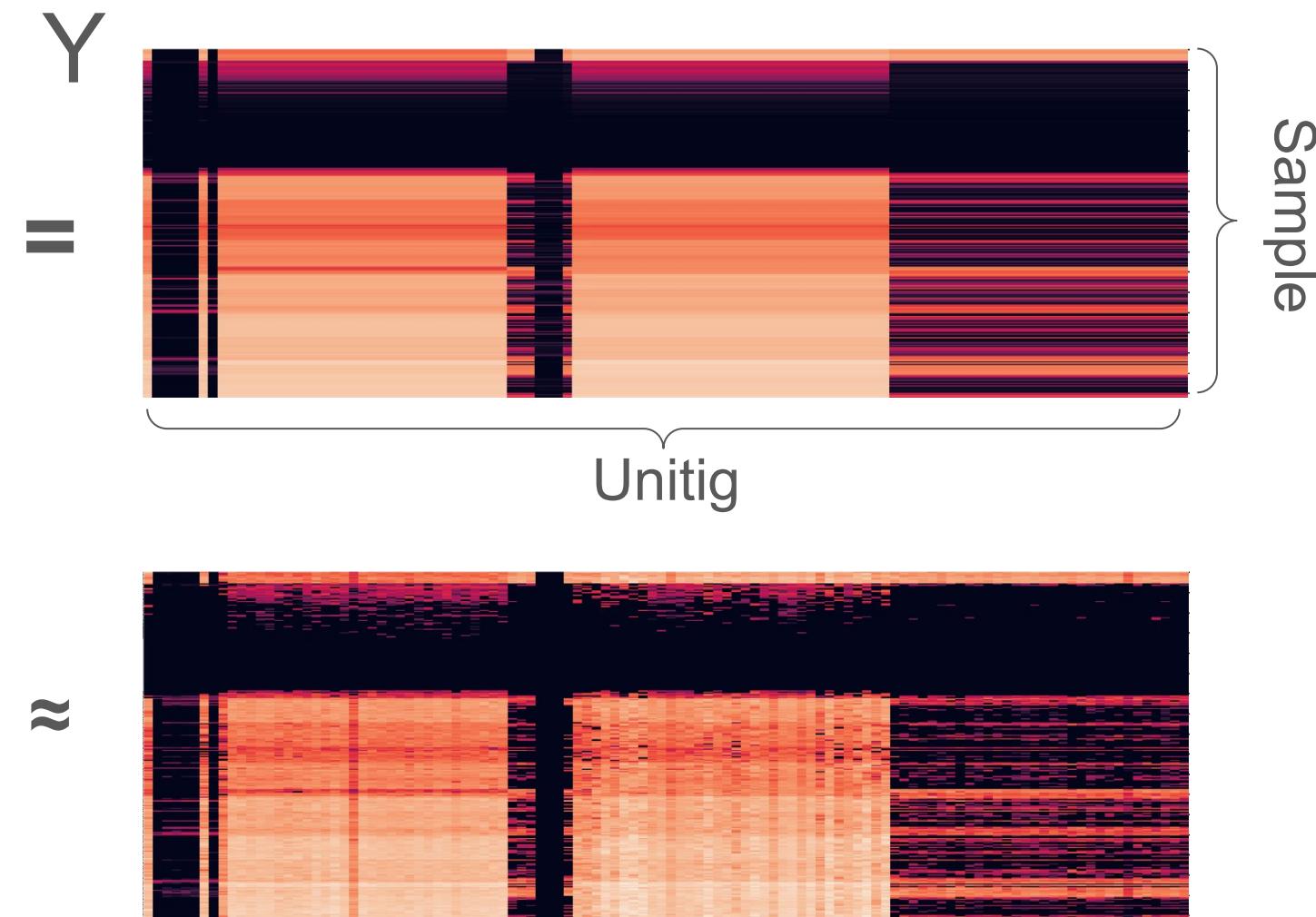
*Veillonella parvulla* Strain A  
(17,229 bp; 100% match)



*Veillonella parvulla* Strain B  
(17,218 bp; 99.99% match)

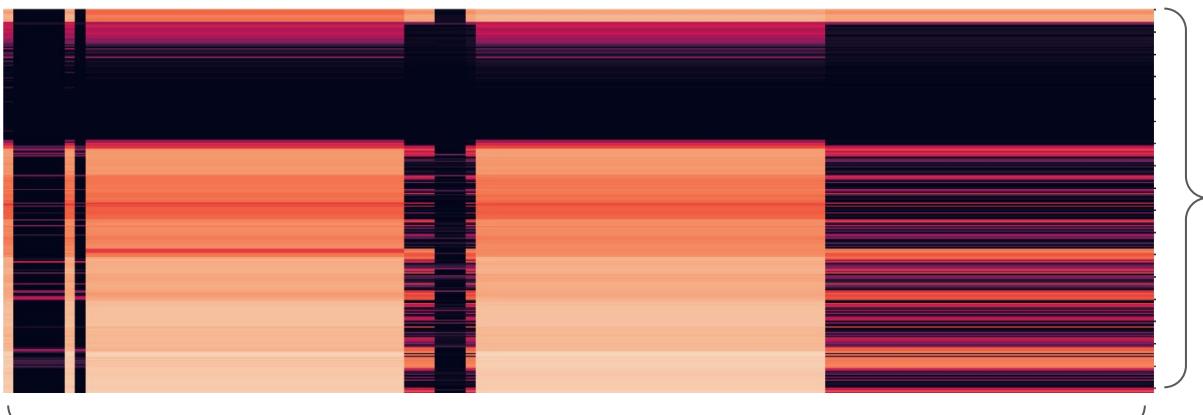


Result: both paths, and path depths  
across samples (without read mapping)

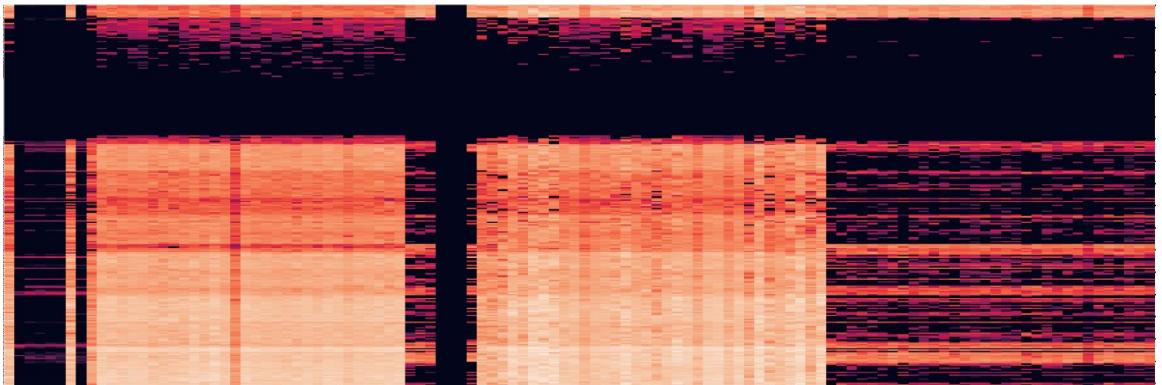


Estimated  
unitig  
depths  
closely  
match  
observed  
depths

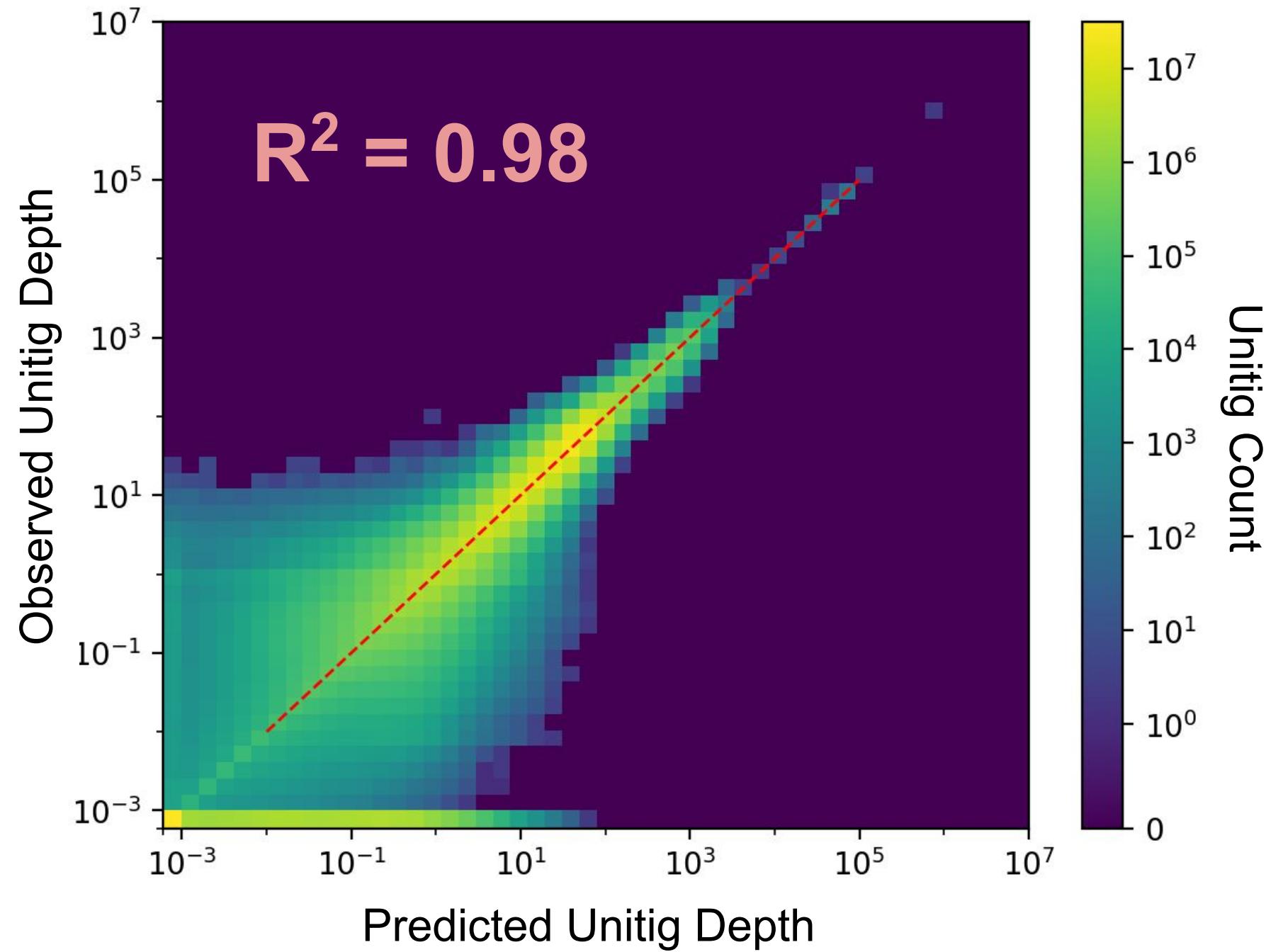
Predicted →



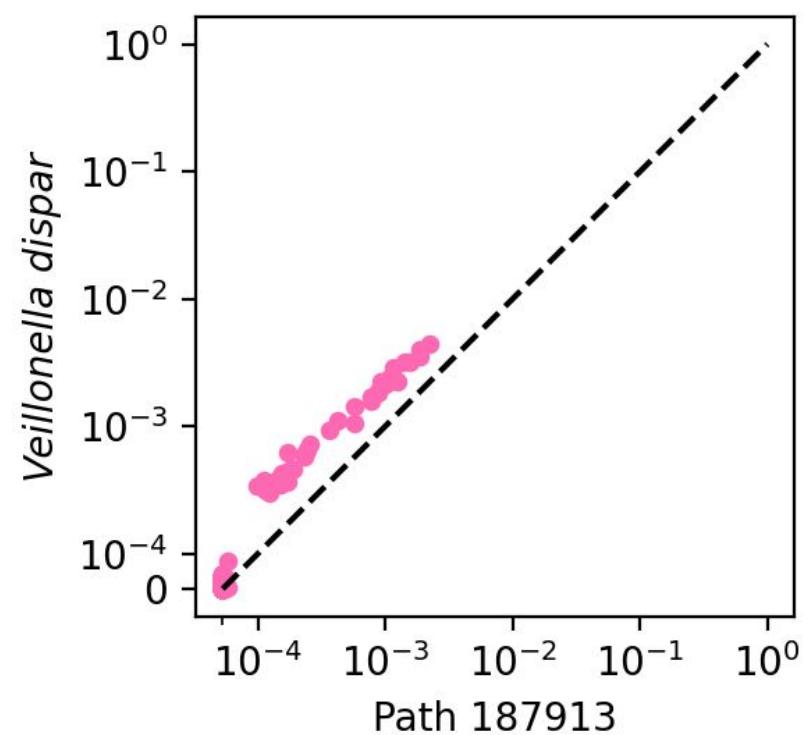
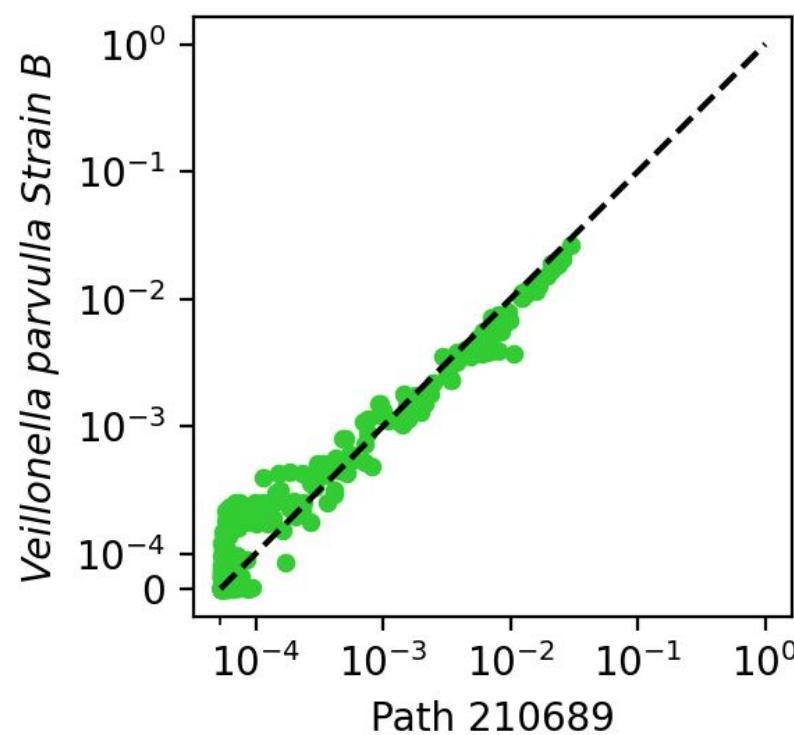
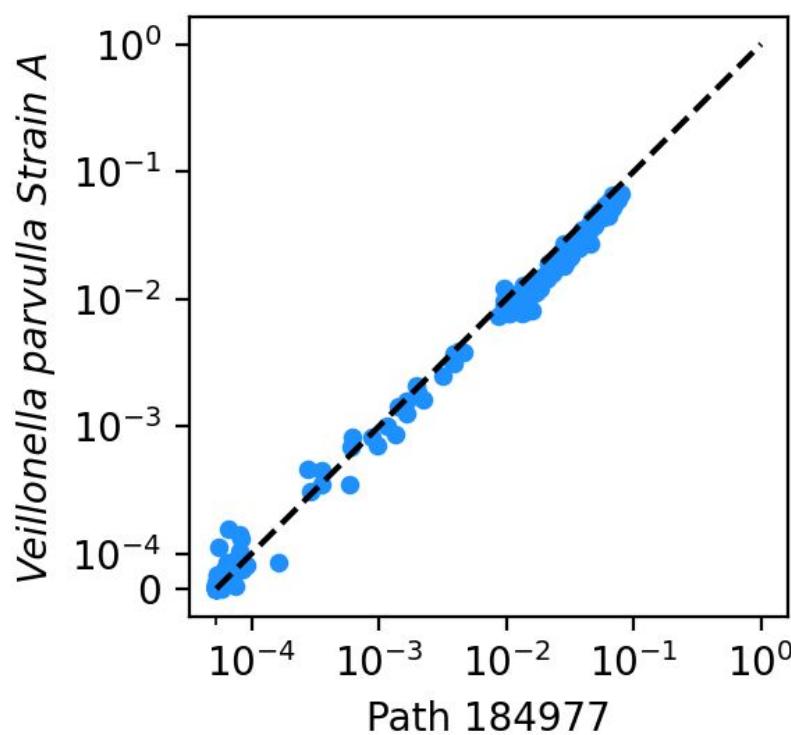
Observed →



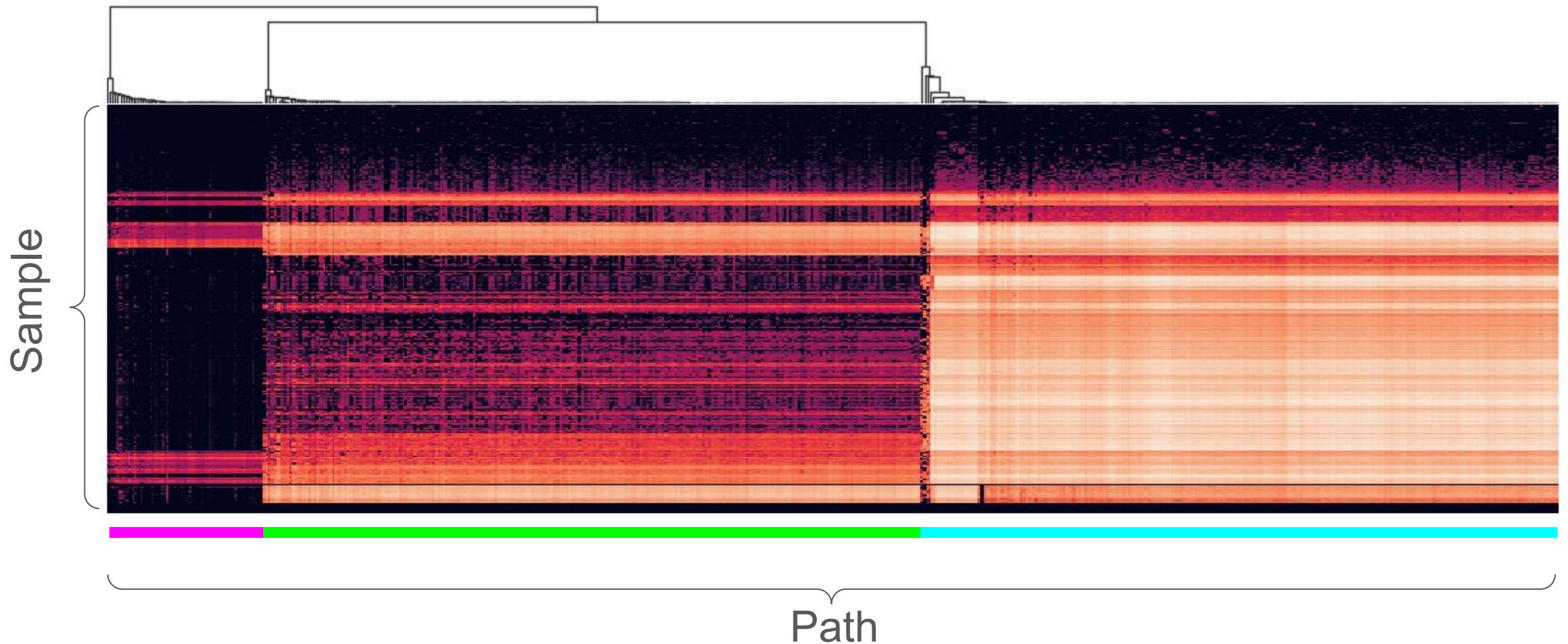
Estimated  
unitig  
depths  
closely  
match  
observed  
depths



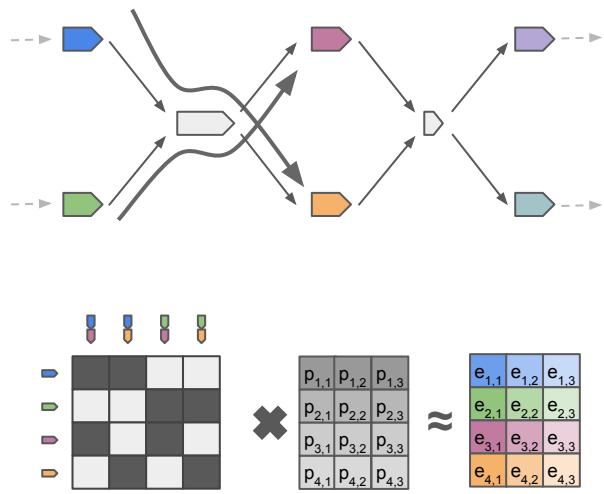
# Path depths match reference-based strain depth estimates



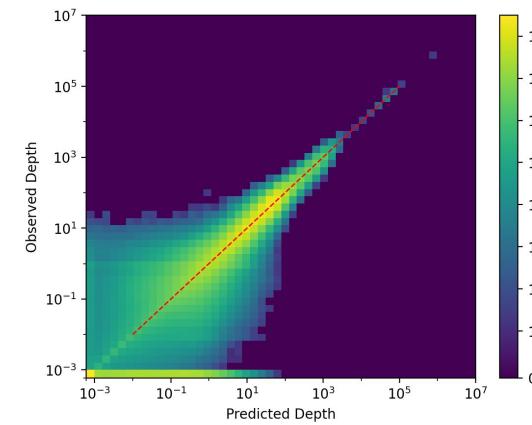
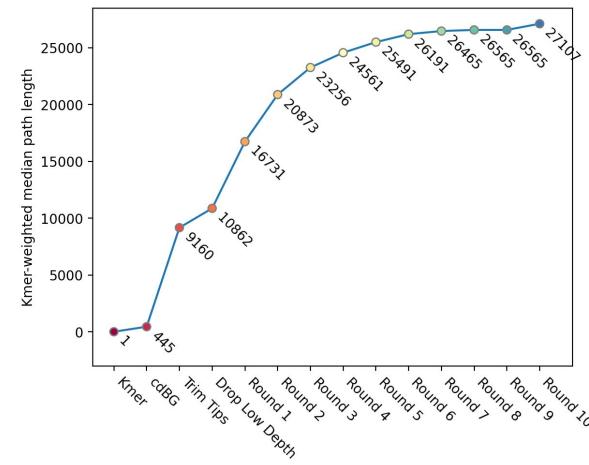
Clustering paths by depth combines multiple sequences from the same strain



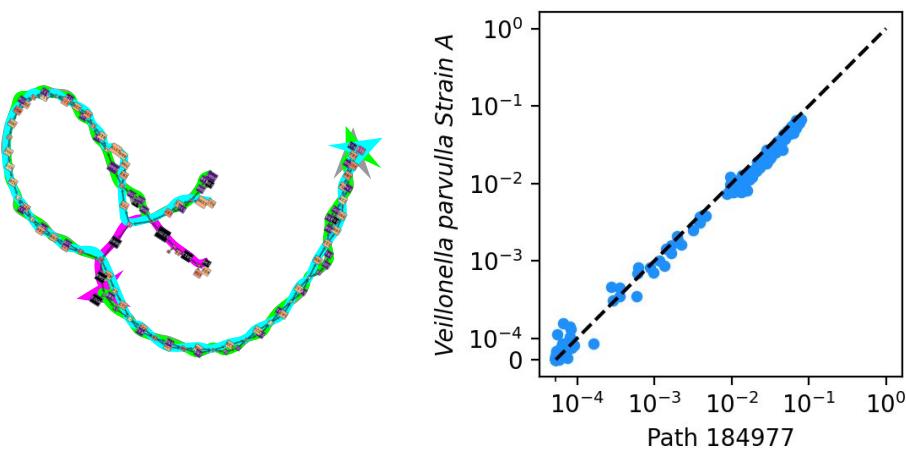
## Iterative Junction Deconvolution



## Combines Assembly, Depth Estimation



## Recovers Closely Related Genomes



## Enables Strain-Resolved Metagenomics

